



NOTICE

ONLINE FORMS FOR FUNDS AND SECURITIES NOW AVAILABLE

1 September 2017

The Cayman Islands Monetary Authority (the "Authority") is pleased to advise that the following forms are currently available within the Regulatory Enhanced Electronic Forms Submission (REEFS) portal for funds and securities related processes:

	Form Code	Form Description	Type
1	APP-101-22	Application for Mutual Fund	Application
2	APP-101-53	Application for Mutual Fund - Master Fund	Application
3	APP-101-26a	Application for Mutual Fund Administrator (Full) 1-50 mutual funds	Application
4	APP-101-26b	Application for Mutual Fund Administrator (Full) 51 or more mutual funds	Application
5	APP-101-27	Application for Mutual Fund Administrator (Restricted)	Application
6	APP-101-29	Application for Securities Investment Business Licence (SIBL)	Application
7	APP-101-28	Application for SIBL Excluded Persons	Application
8	APR-102-28	Renewal of a SIBL Excluded Person	Application
9	ADD-125-99	Addition of a SP or Series Trust or Sub-Fund	Request
10	TMF-147-22	Termination of a Mutual Fund	Request
11	TRS-142-99	Termination of a SP or Series Trust or Sub-Fund	Request

Forms to be used for processes common across all regulated sectors are also available:

	Form Code	Form Description	Type
1	BPC-103-99	Business Plan Change	Request
2	CAU-112-99	Change of Auditor	Request
3	CCL-118-99	Certified copy of licence/registration certificate	Request
4	CDT-140-99	Change of registrant/licensee details	Request
5	CML-128-99	Appointment/Change of MLRO (Reporting) or MLCO (Compliance)	Request
6	CNM-109-99	Change of Name	Request
7	CPO-113-99	Change in Principal Office	Request
8	CSH-108-99	Change in Shareholding	Request
9	CSP-111-99	Change in Registered Office	Request
10	CYE-126-99	Change of Year-End	Request
11	EXF-117-99	Approval for an extension to filing audited statements	Request
12	LGS-116-99	Letter of Good Standing	Request
13	PQU-137-99	Personal Questionnaire	Application

Service providers and other authorized users are encouraged to commence the use of these forms, as the use of online applications for the aforementioned processes will become mandatory as at 1 October 2017. No paper based applications, change requests or return filings that are available via REEFS will be accepted by the Authority after that date. You can login to the REEFS portal at: <https://reefs.cimaconnect.com>

In addition, the CIMACConnect portal, currently used for the submission of fund registration applications, will be discontinued as at 30 September 2017, as all fund registration applications will be through the REEFS portal.

Guidance on the completion of the aforementioned forms and the use of the REEFS portal is available on the Authority's website www.cimoney.com.ky. Queries can also be sent to contactinvestments@cimoney.com.ky and contactsecurities@cimoney.com.ky.

Other functionalities available through the REEFS portal include the ability for authorized REEFS subscribers to electronically view all outstanding and upcoming annual fees for the entities that they manage. Escrow online accounts, enabling industry subscribers to pay the fees identified above online and in real time, will be available shortly.

The Authority remains focused on implementing enhancements that will improve its services and will continue to provide updates on newly implemented procedures as they are introduced.