

List of Amendments to the Guidance Notes, August 2015

The Guidance Notes on the Prevention and Detection of Money Laundering and Terrorist Financing in the Cayman Islands (the “Guidance Notes”) have been amended. The following amendments have been made:

- Amendment to Appendix H of the Guidance Notes to add the Gibraltar Stock Exchange, the Dubai Financial Market and the NASDAQ Dubai to the list of Approved Markets and Exchanges; and
- Amendment to section 3.104(d) of the Guidance Notes to simplify the process of adding stock exchanges to the list in Appendix H, as follows:

3.104 “documentary evidence of identity will not normally be required if the client:

(d) is a company quoted or fund listed on the Cayman Islands Stock Exchange or other market or exchange approved by the Monetary Authority. Regulated Markets and Exchanges located in a Schedule 3 country are deemed to be an approved market or exchange for the purposes of the Guidance Notes. Approved markets and exchanges are listed in Appendix H. This list is for illustrative purposes only and may not be exhaustive. The Authority will update the list periodically.”

Policy & Development Division
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