REPORTING SCHEDULE FOR PRUDENTIAL REPORTS, STATISTICAL RETURNS AND FINANCIAL INFORMATION TO THE CAYMAN ISLANDS MONETARY AUTHORITY 2016 - 2017

1. **This schedule covers the period from *1 December 2016 to 31 December 2017.* Not all the reports listed are required from each reporting institution. Individual institutions that have been specifically requested by the Cayman Islands Monetary Authority to complete certain forms, or to report under a different frequency than shown, should continue to follow their special arrangements.**

2. **Reporting institutions are reminded to submit all forms promptly and within the stipulated deadlines.**

3. **Any foreseen difficulty in meeting the required deadlines should be reported to the Cayman Islands Monetary Authority Divisional Owner, seeking formal extensions within the application period stipulated where necessary. The contact details for these can be found at the end of this document.**

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Reporting Date	Forms	Reporting deadlines	Maximum Extension Period/s available[1]	Divisional Owner
	Entity: BANKS & TRUST COMPANIES			
Year-End/ Annual	Banks and Trust Companies - Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: DEVELOPMENT BANKS			
Year-End/ Annual	Audited Financial Statements	7 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
Year-End/ Annual	Auditor's certificate of the existence of adequate procedures under MLR & PCCL	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: CREDIT UNIONS			
Year-End/ Annual	Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: BUILDING SOCIETIES			
Year-End/ Annual	Audited Financial Statements	14 days after presentation at the annual or other general meeting	90 calendar days in 30 calendar day increments	Banking Division
	Entity: MONEY SERVICES BUSINESS			
Year-End/ Annual	Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
Year-End/ Annual	Auditor's certificate of the existence of adequate procedures under the PCCL	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: INVESTMENTS & SECURITIES			
Year-End/ Annual	Mutual Funds - Fund Annual Return (FAR) & Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Investments & Securities
Year-End/ Annual	Mutual Fund Administrators - Audited Financial Statements	6 months after financial year- end	90 calendar days in 30 calendar day increments	Investments & Securities
Year-End/ Annual	Securities Investment Business Companies (Licensees) - Audited Financial Statements	6 months after financial year- end	90 calendar days in 30 calendar day increments	Investments & Securities
Year-End/ Annual	Securities Investment Business Companies (Licensees) - Certificate of Compliance	6 months after financial year- end	90 calendar days in 30 calendar day increments	Investments & Securities
	Entity: COMPANY MANAGERS & UNRESTRICTED TRUSTS			
Year-End/ Annual	Company Managers'& Unrestricted Trusts - Audited Financial Statements	6 months after financial year- end	30 calendar days	Fiduciary Services Division
	Entity: INSURANCE COMPANIES, MANAGERS, AGENTS AND BROKERS (Note: New Reporting Requirements - Insurance (Reporting) Regulations, 2013)			
Year-End/ Annual	Class 'A' (Approved External) Insurer's Annual Return & Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Class 'A' (Approved External) Confirmation of funds required per section 15 (1) of the Insurance Law , 2010	2 months after financial year-end	No extensions available	Insurance Division
Year-End/ Annual	Class 'A' (Locally Incorporated) Insurer's Annual Return & Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Class 'B'(i), B (ii), B (iii), C, D Annual REEFS Return, Annual Returns & Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Insurance Manager's Annual Return	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Insurance Agent's Annual Return	6 months after calendar year-end	60 calendar days	Insurance Division
Year-End/ Annual	Insurance Broker's - Annual Return	6 months after financial year-end	60 calendar days	Insurance Division

[1] All reference to days are calendar days.

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	Entity: ALL ENTITIES				
December 2016	Non-Compliant Accounts Form	Friday	27-Jan-2017	30 calendar days	Policy & Development
December 2017	Non-Compliant Accounts Form	Tuesday	30-Jan-2018	30 calendar days	Policy & Development

Quarterly and Bi-Annual Forms

Reporting Date	Forms	Reporting deadlines		Maximum Extension Period/s available	Divisional Owner
December 2016	Money Services Business Form	Friday	27-Jan-2017	30 calendar days	Banking Division
	Banks with Controlled Subsidiary Declaration	Friday	27-Jan-2017	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Friday	27-Jan-2017	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Friday	27-Jan-2017	No extension available	Fiduciary Services Division
	Private Trust Company Declaration	Friday	27-Jan-2017	No extension available	Fiduciary Services Division
	Controlled Subsidiary Declaration	Friday	27-Jan-2017	No extension available	Fiduciary Services Division
	Securities Investment Business Quarterly Financial Reporting Form	Friday	20-Jan-2017	30 calendar days	Investments& Securities
	Locational Banking Statistics (LBS) Survey	Friday	27-Jan-2017	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Friday	27-Jan-2017	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Monday	27-Feb-2017	60 calendar days in 30 day increments	Policy &Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Monday	13-Feb-2017	No extensions available	Insurance Division
March 2017	Money Services Business Form	Friday	28-Apr-2017	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Friday	28-Apr-2017	30 calendar days	Banking Division
	Securities Investment Quarterly Financial Reporting Form	Thursday	20-April-2017	30 calendar days	Investments &Securities
	Locational Banking Statistics (LBS) Survey	Friday	28-Apr-2017	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Friday	28-Apr-2017	14 calendar days	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Friday	12-May-2017	No extensions available	Insurance Division
June 2017	Money Services Business Form	Friday	28-Jul-2017	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Friday	28-Jul-2017	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Friday	28-Jul-2017	No extension available	Fiduciary Services Division
	Securities Investment Business Quarterly Financial Reporting Form	Thursday	20-Jul-2017	30 calendar days	Investments & Securities
	Locational Banking Statistics (LBS) Survey	Friday	28-Jul-2017	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Friday	28-Jul-2017	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Wednesday	30-Aug-2017	60 calendar days in 30 day increments	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Friday	11-Aug-2017	No extensions available	Insurance Division
September 2017	Money Services Business Form	Monday	30-Oct-2017	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Monday	30-Oct-2017	30 calendar days	Banking Division
	Securities Investment Business Quarterly Financial Reporting Form	Friday	20-Oct-2017	30 calendar days	Investments &Securities
	Locational Banking Statistics (LBS) Survey	Monday	30-Oct-2017	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Monday	30-Oct-2017	10 calendar days	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Wednesday	15-Nov-2017	No extensions available	Insurance Division
December 2017	Money Services Business Form	Tuesday	30-Jan-2018	30 calendar days	Banking Division
	Banks with Controlled Subsidiary Declaration	Tuesday	30-Jan-2018	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Tuesday	30-Jan-2018	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Tuesday	30-Jan-2018	No extension available	Fiduciary Services Division
	Private Trust Company Declaration	Tuesday	30-Jan-2018	No extension available	Fiduciary Services Division
	Controlled Subsidiary Declaration	Tuesday	30-Jan-2018	No extension available	Fiduciary Services Division
	Securities Investment Business Quarterly Financial Reporting Form	Tuesday	23-Jan-2018	30 calendar days	Investments& Securities
	Locational Banking Statistics (LBS) Survey	Tuesday	30-Jan-2018	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Tuesday	30-Jan-2018	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Tuesday	27-Feb-2018	60 calendar days in 30 day increments	Policy &Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Wednesday	14-Feb-2018	No extensions available	Insurance Division

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BANKING SUPERVISION DIVISION					
Banks with Financial Year End - (January, April, July, October)					
Jan-2017	Basel II & QPRs Form	Monday	27-Feb-2017	30 Calendar Days	Banking Division
Apr-2017	Basel II & QPRs Form	Tuesday	30-May-2017	30 Calendar Days	Banking Division
Jul- 2017	Basel II & QPRs Form	Wednesday	30-Aug-2017	30 Calendar Days	Banking Division
Oct-2017	Basel II & QPRs Form	Wednesday	29-Nov-2017	30 Calendar Days	Banking Division
Banks with Financial Year End - (February, May, August, November)					
Feb- 2017	Basel II & QPRs Form	Monday	29-Mar-2017	30 Calendar Days	Banking Division
May-2017	Basel II & QPRs Form	Tuesday	27-Jun-2017	30 Calendar Days	Banking Division
Aug-2017	Basel II & QPRs Form	Wednesday	27-Sep-2017	30 Calendar Days	Banking Division
Nov-2017	Basel II & QPRs Form	Wednesday	27-Dec- 2017	30 Calendar Days	Banking Division
Banks with Financial Year End - (March, June, September, December)					
Mar-2017	Basel II & QPRs Form	Friday	28-Apr-2017	30 Calendar Days	Banking Division
Jun-2017	Basel II & QPRs Form	Thursday	28-Jul-2017	30 Calendar Days	Banking Division
Sep-2017	Basel II & QPRs Form	Friday	27-Oct-2017	30 Calendar Days	Banking Division
Dec-2017	Basel II & QPRs Form	Tuesday	30-Jan-2018	30 Calendar Days	Banking Division
Basel II - Pillar II ICAAP (2015 Year Ends) - 4 Months after Financial Year-End					
Sep-16	Basel II - Pillar II ICAAP	Friday	27-Jan-2017	30 Calendar Days	Banking Division
Oct-16	Basel II - Pillar II ICAAP	Monday	28-Feb-2017	30 Calendar Days	Banking Division
Nov-16	Basel II - Pillar II ICAAP	Thursday	31-Mar-2017	30 Calendar Days	Banking Division
Dec-16	Basel II - Pillar II ICAAP	Friday	28-Apr-2017	30 Calendar Days	Banking Division
Basel II - Pillar II ICAAP (2016 Year Ends) - 4 Months after Financial Year-End					
Jan-17	Basel II - Pillar II ICAAP	Tuesday	30-May-2017	30 Calendar Days	Banking Division
Feb-17	Basel II - Pillar II ICAAP	Thursday	29-Jun-2017	30 Calendar Days	Banking Division
Mar-17	Basel II - Pillar II ICAAP	Friday	28-Jul-2017	30 Calendar Days	Banking Division
Apr-17	Basel II - Pillar II ICAAP	Wednesday	30-Aug-2017	30 Calendar Days	Banking Division
May-17	Basel II - Pillar II ICAAP	Friday	29-Sep-2017	30 Calendar Days	Banking Division
Jun-17	Basel II - Pillar II ICAAP	Monday	30-Oct-2017	30 Calendar Days	Banking Division
Jul-17	Basel II - Pillar II ICAAP	Wednesday	29-Nov-2017	30 Calendar Days	Banking Division
Aug-17	Basel II - Pillar II ICAAP	Friday	29-Dec-2017	30 Calendar Days	Banking Division
Sep-17	Basel II - Pillar II ICAAP	Tuesday	30-Jan-2018	30 Calendar Days	Banking Division
Oct-17	Basel II - Pillar II ICAAP	Tuesday	27-Feb-2018	30 Calendar Days	Banking Division
Nov-17	Basel II - Pillar II ICAAP	Friday	30-Mar-2018	30 Calendar Days	Banking Division
Dec-17	Basel II - Pillar II ICAAP	Thursday	26-Apr-2018	30 Calendar Days	Banking Division

Divisional Contact Details

Divisional Owner	Contact Name(s)	E-Mail	Telephone	Facsimile
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