



List of Securities Investment Business Licensees

Cayman Islands Monetary Authority
P.O. Box 10052
80e Sheddon Road, Elizabethan Square
Grand Cayman, KY1-1001
CAYMAN ISLANDS

Licence	Name	Licence Date	Broker/ Dealer	Securities Manager	Securities Advisor	Securities Arranger	Market Maker
1307703	AIF Securities (Cayman) Ltd.	12-Oct-2016				X	
25008	ALEXANDRIA GLOBAL INVESTMENT MANAGEMENT LTD.	17-Aug-2004		X			
584080	Asiya Asset Management (Cayman) Ltd.	06-Jul-2012		X	X		
25002	BIAS (Cayman) Limited	04-Oct-2006	X		X		
896523	Blacktower Financial Management (International) Limited	24-Jan-2014		X	X		
25015	Butterfield Bank (Cayman) Limited	27-Apr-2004	X	X	X		
25010	Cayman National Securities Ltd.	11-Apr-2005	X	X	X		
25001	CIBC Bank and Trust Company (Cayman) Limited	02-Mar-2004	X	X	X		
25031	CITCO Bank and Trust Company Limited	20-Jun-2005				X	
25018	Delta Bank and Trust Company, Grand Cayman	06-Apr-2004		X			
25035	DEUTSCHE BANK (CAYMAN) LIMITED	30-Apr-2007			X		
25034	EFG Wealth Management (Cayman) Ltd.	08-Aug-2007	X	X	X	X	X
200012	FalconView Securities Ltd.	12-Jan-2010	X		X	X	
25013	FirstCaribbean International Bank (Cayman) Limited	04-Apr-2005	X		X	X	
25022	Five Continents Financial Limited	27-Apr-2004		X			
25033	GAIN Global Markets, Inc.	01-Jan-2007				X	X
1231532	Hypa Asset Management Limited	24-Jun-2015		X	X		
25028	International Financial Planning (Cayman) Limited	04-Jan-2005			X	X	
574280	ITA Global Securities Ltd.	29-Mar-2011	X	X	X	X	
1165861	Merrill Lynch Bank and Trust Company (Cayman) Limited	01-Dec-2014	X			X	
25017	Millennium bcp Bank & Trust	10-Aug-2004	X	X	X		
25039	NCB Capital Markets (Cayman) Ltd.	06-May-2008			X	X	X
647732	ONETRADEX LTD.	04-Dec-2013	X				
586250	Permanent Value Asset Management Inc.	21-Feb-2012		X			
25011	RBC Dominion Securities Global Limited	01-Mar-2005	X	X	X		
1125062	RiverCrossing Investment Manager Limited	02-Dec-2014		X			
1147635	Sackville Bank and Trust Company Limited	07-Mar-2016		X	X		
25023	Scotiabank & Trust (Cayman) Ltd.	15-Feb-2005	X	X	X		
25005	The Seven Asset Management (Asia) Limited	22-Jun-2004		X	X		
585163	Tradeview Ltd.	04-Apr-2012	X				
25029	Unibanco Cayman Bank Ltd.	30-Nov-2004	X			X	X
25037	VBT Bank & Trust, Ltd.	17-May-2007	X			X	
1314670	VietNam Holding Asset Management Ltd.	26-Jul-2017		X	X		
25042	WGF Asset Management Limited	04-May-2012		X			
	Total Securities Investment Business Licensees:	34					