



CAYMAN ISLANDS
MONETARY AUTHORITY

REEFS APP-101-69

**AIFMD NOTIFICATION FOR
EU CONNECTED FUNDS -
COMPLETION GUIDE
FEBRUARY 2019**

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A – Information required for REEFS schedules	
A01	Are you an EU Connected Fund that is a regulated mutual fund, including an EU Connected Fund which has elected to be licensed or registered?
	If the EU Connected Fund is a regulated mutual fund with the Cayman Islands Monetary Authority ("Authority"), including an EU Connected Fund which has elected to be licensed or registered by the Authority, then select "Yes"; otherwise, select "No". These entities are required to make a notification pursuant to regulation 2 and 3 of the Mutual Funds (EU Connected Fund (Alternative Investment Fund Managers Directive)) Regulations (as Revised) ("MF AIFMD Regs") and submit the required information to the Authority pursuant to section 22C of the Mutual Funds Law (as Revised) ("Law" or "MFL").
A02a	Name of EU Connected Fund
	Select the name of the registered/licensed fund from the options available within the drop down list. If the name of your EU Connected Fund is not available, please contact the Authority via email at contactinvestments@cimoney.com.ky to request the addition of the fund to your REEFS access and provide evidence or documentation of your relationship (e.g. Registered Office and Legal Counsel) to the EU Connected Fund.
A02b	Name of Fund
	Insert the complete legal name of the unregulated entity/fund as it appears on the governing documents (e.g. Certificate of Incorporation, Offering Document, etc.) of the fund including the appropriate uppercase/lowercase and punctuation marks. This confirmation is required pursuant to Regulation 3(a) of the MF AIFMD Regs.
A03	If available, provide Legal Entity Identifier (LEI).
	Legal Entity Identifier ("LEI") is a globally unique 20 character alphanumeric string issued via the Global LEI System and corresponds to a legal entity that is organised under the laws of any jurisdiction. If the fund has obtained a LEI number provided by a "Local Operating Unit" of the Global LEI System (or foreign LEI issuer if applicable), please insert this number in the return.
A04i	The name of the Member State in which the marketing takes place, or is expected to take place

	Select the Member State from the drop down list in which the marketing takes place, or is expected to take place as required pursuant to Regulation 2(a) of the MF AIFMD Regs. For further information regarding the competent authorities/regulators in a Member State, please visit https://www.esma.europa.eu/document/aifmd-mous-signed-eu-authorities . Click "+" button to add all relevant Member States in which the marketing takes place, or is expected to take place.
A04ii	The date on which such marketing commenced, or is expected to commence
	Insert the date on which such marketing commenced, or is expected to commence as required pursuant to regulation 2(b) of the MF AIFMD Regs.
A05	The name of the EU Connected Fund's manager
	Provide the complete legal name of the EU Connected Fund's manager as required pursuant to Regulation 2(d) of the MF AIFMD Regs.
A06	The contact name of the EU Connected Fund's manager
	Provide the contact person's name (contact details) of the EU Connected Fund's manager as required pursuant to Regulation 2(d) of the MF AIFMD Regs.
A07	The contact email address of the EU Connected Fund's manager
	Provide the primary contact email address of the EU Connected Fund's manager as required pursuant to Regulation 2(d) of the MF AIFMD Regs.
A08	The contact phone number of the EU Connected Fund's manager
	Provide the primary contact phone number of the EU Connected Fund's manager as required pursuant to Regulation 2(d) of the MF AIFMD Regs.
A09	The physical address of the EU Connected Fund's manager
	Provide the physical address of the EU Connected Fund's manager as required pursuant to Regulation 2(d) of the MF AIFMD Regs.

A10	If authorised, the country and regulator of authorisation of the EU Connected Fund's manager
	If the EU Connected Fund manager was authorised (e.g. registered or licensed) pursuant to Regulation 2(d) of the MF AIFMD Regs, select the country of authorisation from the drop down list.
A10a	If not authorised, the country of domicile of the EU Connected Fund's manager
	If EU Connected Fund manager was not authorised (e.g. registered or licensed), select the country of domicile of the EU Connected Fund manager from the drop down list.
A11	Confirmation (signed affidavit from the operators of the fund) that the EU Connected Fund is or will be marketed in each Member State in accordance with the laws and Regulations in force in that Member State
	Select browse and upload a signed affidavit from the operators of the fund, the contents of which must confirm that the EU Connected Fund is or will be marketed in each Member State in accordance with the laws and regulations in force in that Member State. This confirmation is required pursuant to Regulation 2(e) of the MF AIFMD Regs.
B01	Provide supporting documentation to confirm the name of the EU Connected Fund
	Select browse and upload the supporting documentation (e.g. Certificate of Incorporation, Registration or Trust Deed) to confirm the complete legal name of the unregulated EU Connected Fund as required pursuant to Regulation 3(a) of the MF AIFMD Regs.
B02	The type of fund
	Select the type of fund from the drop down list, setting out why the EU Connected Fund is not required to be regulated i.e. Closed-ended fund, section 4(4) of the MFL or single investor funds. These funds typically fall outside the wider definition of a Mutual Fund according to the MFL and may not be required to register/license with the Authority. This confirmation is required pursuant to Regulation 3(b) of the MF AIFMD Regs.
B03	Provide supporting documentation to confirm the type of fund

	Select browse and upload the supporting documentation (e.g. certified copy of the Memorandum and Articles of Association, Offering Document and Supplement to the Offering Document) to confirm the type of the unregulated EU Connected Fund as required pursuant to Regulation 3(b) of the MF AIFMD Regs. Offering Document refers to the Confidential Private Placement Memorandum, Offering Memorandum, etc. including any Supplements.
B04	Outline of why the EU Connected Fund is not required to be regulated
	Insert details outlining why the EU Connected Fund is not required to be regulated as required pursuant to Regulation 3(b) of the MF AIFMD Regs.
B05i	The name(s) of the operators of the EU Connected Fund
	Provide the name(s) of the operators of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs. The operators are as defined pursuant to the Law. Provide all the names of the operators by clicking the "+" button.
B05ii	The email address of the operator of the EU Connected Fund
	Provide the email address of each operator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.
B05iii	The phone number of the operator of the EU Connected Fund
	Provide the phone number of each operator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.
B05iv	The physical address of the operator of the EU Connected Fund
	Provide the physical address of each operator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.
B06	The name of the administrator of the EU Connected Fund
	Provide the legal name of the administrator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.

B07	The contact name of the administrator of the EU Connected Fund
	Provide the contact person's name of the administrator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.
B08	The contact email address of the administrator of the EU Connected Fund
	Provide the primary contact email address of the administrator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.
B09	The contact phone number of the administrator of the EU Connected Fund
	Provide the primary contact phone number of the administrator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.
B10	The physical address of the administrator of the EU Connected Fund
	Provide the physical address of the administrator of the EU Connected Fund as required pursuant to Regulation 3(c) of the MF AIFMD Regs.
B11	The name of the EU Connected Fund's registered office
	Select the name of the registered office from the drop down list of the EU Connected Fund as required pursuant to Regulation 3(d) of the MF AIFMD Regs.
B12	The name of the EU Connected Fund's Cayman Islands legal counsel
	Select the name of the Cayman Islands' legal counsel from the drop down list of the EU Connected Fund as required pursuant to Regulation 3(e) of the MF AIFMD Regs.
B13i	The name of the Member State in which the marketing takes place, or is expected to take place
	Select the Member State from the drop down list in which the marketing takes place, or is expected to take place as required pursuant to Regulation 3(f) of the MF AIFMD Regs. For further information regarding the competent authorities/regulators in a Member State, please visit https://www.esma.europa.eu/document/aifmd-mous-signed-eu-authorities . Click "+" button to add all relevant Member States in which the marketing takes

	place, or is expected to take place.
B13ii	The date on which such marketing commenced, or is expected to commence
	Insert the date on which such marketing commenced, or is expected to commence as required pursuant to regulation 3(g) of the MF AIFMD Regs.
B14	The name of the EU Connected Fund's manager
	Provide the legal name of the EU Connected Fund's manager as required pursuant to Regulation 3(i) of the MF AIFMD Regs.
B15	The contact name of the EU Connected Fund's manager
	Provide the contact person's name (contact details) of the EU Connected Fund's manager as required pursuant to Regulation 3(i) of the MF AIFMD Regs.
B16	The contact email of the EU Connected Fund's manager
	Provide the primary contact email address of the EU Connected Fund's manager as required pursuant to Regulation 3(i) of the MF AIFMD Regs.
B17	The contact phone number of the EU Connected Fund's manager
	Provide the primary contact phone number of the EU Connected Fund's manager as required pursuant to Regulation 3(i) of the MF AIFMD Regs.
B18	The physical address of the EU Connected Fund's manager
	Provide the physical address of the EU Connected Fund's manager as required pursuant to Regulation 3(i) of the MF AIFMD Regs.
B19	Confirmation (signed affidavit from the operators of the fund) that the EU Connected Fund is marketed in each Member State in accordance with the laws and Regulations in force in that Member State

	Select browse and upload a signed affidavit from the operators of the fund, the contents of which must confirm that the EU Connected Fund is or will be marketed in each Member State in accordance with the laws and regulations in force in that Member State. This confirmation is required pursuant to Regulation 2(e) of the MF AIFMD Regs.
C01	I confirm that the information given in this form is true, complete and accurate.
	By ticking the box you confirm that the information given in this form is true, complete and accurate.

B – Completion of submission

Once all the information in the schedules have been completed, select “Validate” to the top right of your screen. If there are any validation errors or omitted data from required fields, it will be clearly highlighted. The validation errors first need to be corrected to ensure a successful submission to the Authority.

Once all errors have been rectified, proceed with the validation by selecting “Submit” which will continue to the payment page. A fee of one hundred Cayman Islands dollars (CI\$ 100) is required for the above notification pursuant to Regulation 2(f) of the MF AIFMD Regs. Select the preferred method of payment from the options available and upload the required proof as applicable. If paying by cheque, the REEFS generated Pro Forma Receipt should always be submitted to the Authority along with your cheque. Proceed with “Finalise Payment” to complete the submission.

To ensure the submission was successful, always ensure your submission moved from the “In-Progress Requests” tab to the “Submitted Request” tab in your REEFS account.

If you have any further questions on your application, please contact the Authority at contactinvestments@cimoney.com.ky and always include the REEFS application number/request ID of the specific form with the full name of the entity.