



CAYMAN ISLANDS
MONETARY AUTHORITY

REEFS EUF-153-70
REQUEST FOR AIFMD
ATTESTATION FOR EU
CONNECTED FUND
MANAGERS -
COMPLETION GUIDE
FEBRUARY 2019

Contents

A – Information required for REEFS schedules.....	3
B – Completion of submission	8

A – Information required for REEFS schedules	
A01	Select the type of EU Connected Manager
	<p>If you are an EU Connected Manager that is an existing Securities Investment Business Excluded Person ("SIBL EP"), then select "EU Connected Manager that is an existing SIBL EP"; otherwise, select "EU Connected Manager that is not a Licensee or SIBL EP".</p> <p>Please note that this form is not applicable for Securities Investment Business Licensees.</p>
A02a and A02b	Name of SIBL EP/EU Connected Manager
	<p>For A02a (EU Connected Manager that is an existing SIBL EP), select the name of the SIBL EP/EU Connected Manager from the options available within the drop down list. If the name of your SIBL EP/EU Connected Manager is not available, please contact the Authority via email at contactsecurities@cimoney.com.ky to request the addition of the entity to your REEFS access and provide evidence or documentation of your relationship (e.g. Registered Office and Legal Counsel) to the EU Connected Manager.</p> <p>For A02b (EU Connected Manager that is not a Licensee or SIBL EP), insert the complete legal name of the EU Connected Manager as it appears on the governing documents (e.g. Certificate of Incorporation, Offering Document, etc.) including the appropriate uppercase/lowercase and punctuation marks.</p>
A03	Are there any changes to the information previously provided for the person's Annual Declaration (the SIBL EP Annual Declaration form) required to be filed pursuant to section 5(4)(a) of the Law?
	<p>If there are any changes to the information previously provided for the person's Annual Declaration (the SIBL EP Annual Declaration form) required to be filed pursuant to section 5(4)(a) of the Law, then select "Yes"; otherwise, select "No". This confirmation is required pursuant to Regulation 12(a)(i) of the SIB AIFMD Regs.</p>
A03a	Please provide details
	<p>Insert summary/details of any changes to the information previously provided for the person's Annual Declaration (the SIBL EP Annual Declaration form) required to be filed pursuant to section 5(4)(a) of the Law as required pursuant</p>

	to Regulation 12(a)(i) of the SIB AIFMD Regs.
A04	A declaration that the EU Connected Manager (signed affidavit) agrees to comply with the requirements of the AIFMD applicable to the EU Connected Manager and to ensure that any form of marketing or management in any Member State is effected in accordance with the laws and regulations in force in the relevant Member State
	Select browse and upload a signed affidavit, the contents of which must confirm that the EU Connected Manager agrees to comply with the requirements of the AIFMD applicable to the EU Connected Manager and to ensure that any form of marketing or management in any Member State is effected in accordance with the laws and regulations in force in the relevant Member State as required pursuant to Regulation 12(a)(ii) of the SIB AIFMD Regs.
A05	The name of the Member State in which the marketing or management is to be conducted
	Select the name of the Member State from the drop down list in which the marketing or management is to be conducted as required pursuant to Regulation 12(a)(iii) of the SIB AIFMD Regs.
A06	The name of the EU Connected Manager's registered office
	Select the name of the EU Connected Manager's registered office from the drop down list.
A07	Confirmation that the books and records of the EU Connected Manager are kept at the location and address of its registered office
	If the books and records of the EU Connected Manager are kept at the location and address of its registered office, then select "Yes"; otherwise, select "No". This confirmation is required pursuant to Regulation 12(a)(iv) of the SIB AIFMD Regs.
A08i	If the books and records are not kept by its registered office, confirmation of the name
	If the books and records are not kept by its registered office, provide the name of the entity where its kept as required pursuant to Regulation 12(a)(iv) of the SIB AIFMD Regs.

A08ii	If the books and records are not kept by its registered office, confirmation of the country
	If the books and records are not kept by its registered office, select the country where its kept from the drop down list as required pursuant to Regulation 12(a)(iv) of the SIB AIFMD Regs.
A08iii	If the books and records are not kept by its registered office, confirmation of the address
	If the books and records are not kept by its registered office, provide the physical office address where it is kept as required pursuant to Regulation 12(a)(iv) of the SIB AIFMD Regs.
A09	Agreement that the Authority or the regulator in any EU Member State may inspect the books and records of the EU Connected Manager at any time
	By ticking the "Yes" box you are in agreement that the Authority or the regulator in any EU Member State may inspect the books and records of the EU Connected Manager at any time as required pursuant to Regulation 12(a)(v) of the SIB AIFMD Regs.
B01	The name of the registered office of the EU Connected Manager
	Select the name of the registered office of the EU Connected Manager from the drop down list as required pursuant to Regulation 12(b)(i) of the SIB AIFMD Regs.
B02	Details of senior management and directors of the EU Connected Manager:
B02i	Director ID
	Enter the unique 7-digit number the Director has been assigned by the Authority, if registered/licensed with the Authority. Click "+" button to add all senior management and directors of the EU Connected Manager.
B02ii	First Name

	Insert first name of the senior management member/director as required pursuant to Regulation 12(b)(ii) of the SIB AIFMD Regs.
B02iii	Last Name
	Insert last name of the senior management member/director as required pursuant to Regulation 12(b)(ii) of the SIB AIFMD Regs.
B02iv	Date of Birth
	Insert date of birth of the senior management member/director as required pursuant to Regulation 12(b)(ii) of the SIB AIFMD Regs.
B02v	Email
	Insert email address of the senior management member/director as required pursuant to Regulation 12(b)(ii) of the SIB AIFMD Regs.
B02vi	Phone
	Insert phone number of the senior management member/director as required pursuant to Regulation 12(b)(ii) of the SIB AIFMD Regs.
B03	Confirmation that the books and records of the EU Connected Manager are kept at the location and address of its registered office
	If the books and records of the EU Connected Manager are kept at the location and address of its registered office, then select "Yes"; otherwise, select "No". This confirmation is required pursuant to Regulation 12(b)(iii) of the SIB AIFMD Regs.
B04	If the books and records are not kept by its registered office, confirmation of the location and address of where the books and records of the EU Connected Manager are kept:
B04i	Name
	If the books and records are not kept by its registered office, provide the name of the entity where its kept as required pursuant to Regulation 12(b)(iii) of the

	SIB AIFMD Regs.
B04ii	Country
	If the books and records are not kept by its registered office, select the country where its kept from the drop down list as required pursuant to Regulation 12(b)(iii) of the SIB AIFMD Regs.
B04iii	Address
	If the books and records are not kept by its registered office, provide the physical office address where it is kept as required pursuant to Regulation 12(b)(iii) of the SIB AIFMD Regs.
B05	A declaration that the EU Connected Manager (signed affidavit) agrees to comply with the requirements of the AIFMD applicable to the EU Connected Manager and to ensure that any form of marketing or management in any Member State is effected in accordance with the laws and regulations in force in the relevant Member State
	Select browse and upload the signed affidavit, the contents of which must confirm that the EU Connected Manager agrees to comply with the requirements of the AIFMD applicable to the EU Connected Manager and to ensure that any form of marketing or management in any Member State is effected in accordance with the laws and regulations in force in the relevant Member State as required pursuant to Regulation 12(b)(iv) of the SIB AIFMD Regs.
B06	Agreement that the Authority or the regulator in any EU Member State may inspect the books and records of the EU Connected Manager at any time
	By ticking the "Yes" box you are in agreement that the Authority or the regulator in any EU Member State may inspect the books and records of the EU Connected Manager at any time as required pursuant to Regulation 12(b)(v) of the SIB AIFMD Regs.
B07	The name of the Member State in which the marketing or management is to be conducted
	Select the name of the Member State in which the marketing or management is to be conducted as required pursuant to Regulation 12(b)(vi) of the SIB AIFMD Regs.

C01	I confirm that the information given in this form is true, complete and accurate.
	By ticking the box you confirm that the information given in this form is true, complete and accurate.

B – Completion of submission

Once all the information in the schedules have been completed, select “Validate” to the top right of your screen. If there are any validation errors or omitted data from required fields, it will be clearly highlighted. The validation errors first need to be corrected to ensure a successful submission to the Authority.

Once all errors have been rectified, proceed with the validation by selecting “Submit” which will continue to the payment page. A fee of eight hundred Cayman Islands dollars (CI\$ 800) are required for the above attestation pursuant to Regulation 12(a)(vi) and 12(b)(vii) of the SIB AIFMD Regs. Select the preferred method of payment from the options available and upload the required proof as applicable. If paying by cheque, the REEFS generated Pro Forma Receipt should always be submitted to the Authority along with your cheque. Proceed with “Finalise Payment” to complete the submission.

To ensure the submission was successful, always ensure your submission moved from the “In-Progress Requests” tab to the “Submitted Request” tab in your REEFS account.

If you have any further questions on your application, please contact the Authority at contactsecurities@cimoney.com.ky and always include the REEFS application number/request ID of the specific form with the full name of the entity.