

**Introduction**

The Application to Re-Register under the Securities Investment Business (Amendment) Law, 2019 (RRP-160-75) is to be completed by every Securities Investment Business – Excluded Person (who is commonly referred to as "Applicant" or "SIBL - Excluded Person") for purposes of this form) in REEFS, via its registered office, on or before **15 January 2020**.

A01	Select the current SIBL - Excluded Person.	Select the current name of the SIBL-Excluded Person from the dropdown. If the name does not appear, it may be due to a name change that has not been disclosed to the Authority so an email to request the change in name, along with the Certificate of Incorporation on Change of Name, is to be sent to contactsecurities@cimoney.com.ky.
A02	Are you requesting to be re-registered as a Registered Person?	Select the applicable 'yes' or 'no' answer. If 'no' is selected, options 1 and 2 are not to be completed and the Excluded Person will not be considered for purposes or re-registration as a Registered Person.
A03	Select which option is applicable.	Select the option that is applicable. Both option 1 and option 2 cannot be selected.
A04	The Applicant confirms that all of the following are applicable.	Select as appropriate. A SIBL-Excluded Person must meet all criteria in A04a - A04c, if option 1 is selected.
A04a	The Applicant is a person specified in Schedule 4 of the Securities Investment Business Law (2019 Revision)("SIBL").	Select as appropriate. A SIBL-Excluded Person must meet this criteria if option 1 is selected.
A04b	There have been no changes to the directors, senior officers, anti-money laundering officers or beneficial owners disclosed in the 2019 Excluded Person registration/renewal annual declaration form previously submitted to the Authority (the "2019 annual declaration"); and	Select as appropriate. A SIBL-Excluded Person must meet this criteria if option 1 is selected.
A04c	The 2019 annual declaration as submitted should be relied upon by the Authority for purposes of considering this application to re-register as a Registered Person.	Select as appropriate. A SIBL-Excluded Person must meet this criteria if option 1 is selected.
A05	Has the entity, its directors, shareholders, beneficial owners or senior officers ever been denied an application by any regulator or regulatory body?	Select as appropriate. A SIBL-Excluded Person must meet all criteria in A05a - A05c(vii), if option 2 is selected.
A05a	The Applicant is a person specified in Schedule 4 of the SIBL; and	Select as appropriate. A SIBL-Excluded Person must meet this criteria if option 2 is selected.
A05b	The 2019 annual declaration as submitted should be relied upon by the Authority for purposes of considering this application to re-register as a Registered Person, other than in relation to the following additions/deletions that have occurred in the directors, senior officers, anti-money laundering officers or beneficial owners disclosed in the 2019 annual declaration.	Select as appropriate. A SIBL-Excluded Person must meet this criteria if option 2 is selected.
A05c	Details	Insert the specific details for all added or removed directors, senior officers, anti-money laundering officers or beneficial owners that have changed since the filing of the 2019 annual declaration form.
A05 (c)(i)	First Name	Select the first name of the person that is being added or removed. If the person is a company, insert the full name of the company in this section and do not fill in the last name, section A05(c)(ii) below.
A05 (c)(ii)	Last Name	Select the last name of the person that is being added or removed. If the person is a company, do not insert a last name in this section as the full name of the company should be included in the first name, section A05(c)(i) above, only.
A05 (c)(iii)	CIMA ID (if known)	Input the CIMA ID for the person that is being added or removed, only if known.
A05(c)(iv)	Date of Birth	Select the date of birth for the person that is being added or removed. If a company serves in the capacity of director, senior officer, anti-money laundering officers or beneficial owners, then the date of incorporate for the company should be included in this section.

A05 (c)(v)	Country of Birth	Select the country of birth for the person that is being added or removed.
A05 (c)(vi)	Position	Select the appropriate position from the dropdown for the person that is being added or removed.
A05 (c)(vii)	Change Type	Select whether or not the person is being added or removed.