



**List of Securities Investment Business Licensees**

Cayman Islands Monetary Authority  
P.O. Box 10052  
80e Sheddon Road, Elizabethan Square  
Grand Cayman, KY1-1001  
CAYMAN ISLANDS

Licence #	Licencee Name	Licence Date	Broker/Dealer	Securities Manager	Securities Advisor	Securities Arranger	Market Maker
1307703	AIF Securities (Cayman) Ltd.	12-Oct-16					X
25008	ALEXANDRIA GLOBAL INVESTMENT MANAGEMENT LTD.	17-Aug-04		X			
584080	Asiya Asset Management (Cayman) Ltd.	06-Jul-12		X	X	X	
25002	BIAS (Cayman) Limited	04-Oct-06	X		X	X	
896523	Blacktower Financial Management (International) Limited	24-Jan-14		X	X	X	
25015	Butterfield Bank (Cayman) Limited	27-Apr-04	X	X	X	X	
25010	Cayman National Securities Ltd.	11-Apr-05	X	X	X	X	
25001	CIBC Bank and Trust Company (Cayman) Limited	02-Mar-04	X	X	X	X	
25031	CITCO Bank and Trust Company Limited	20-Jun-05					X
25018	Delta Bank and Trust Company, Grand Cayman	06-Apr-04		X			
25035	DEUTSCHE BANK (CAYMAN) LIMITED	30-Apr-07			X	X	
25034	EFG Wealth Management (Cayman) Ltd.	08-Aug-07	X	X	X	X	X
200012	FalconView Securities Ltd.	12-Jan-10	X		X	X	X
25013	FirstCaribbean International Bank (Cayman) Limited	04-Apr-05	X		X	X	X
25022	Five Continents Financial Limited	27-Apr-04		X			
25033	GAIN Global Markets, Inc.	01-Jan-07					X
1231532	Hypa Asset Management Limited	24-Jun-15		X	X	X	
25028	International Financial Planning (Cayman) Limited	04-Jan-05			X	X	X
574280	ITA Global Securities Ltd.	29-Mar-11	X	X	X	X	X
1445894	London Capital Group (Cayman) Limited	29-May-18					
1165861	Merrill Lynch Bank and Trust Company (Cayman) Limited	01-Dec-14	X				X
25017	Millennium bcp Bank & Trust	10-Aug-04	X	X	X	X	
25039	NCB Capital Markets (Cayman) Ltd.	06-May-08			X	X	X
647732	ONETRADEX LTD.	04-Dec-13	X				
586250	Permanent Value Asset Management Inc.	21-Feb-12		X			
25011	RBC Dominion Securities Global Limited	01-Mar-05	X	X	X	X	
1125062	RiverCrossing Investment Manager Limited	02-Dec-14		X			
1147635	Sackville Bank and Trust Company Limited	07-Mar-16		X	X	X	
25023	Scotiabank & Trust (Cayman) Ltd.	15-Feb-05	X	X	X	X	
585163	Tradeview Ltd.	04-Apr-12	X				
25029	Unibanco Cayman Bank Ltd.	30-Nov-04	X				X
1383491	Vantage International Group Limited	25-May-18	X				
25037	VBT Bank & Trust, Ltd.	17-May-07	X				X
1314670	VietNam Holding Asset Management Ltd.	26-Jul-17		X	X	X	
<b>Total Securities Investment Business Licensees:</b>		<b>34</b>					