



List of Securities Investment Business Licensees

Cayman Islands Monetary Authority
P.O. Box 10052
80e Sheddon Road, Elizabethan Square
Grand Cayman, KY1-1001
CAYMAN ISLANDS

| Licence # | Licencee Name | Licence Date | Broker/Dealer | Securities Manager | Securities Advisor | Securities Arranger | Market Maker |
|--|---|--------------|---------------|--------------------|--------------------|---------------------|--------------|
| 1307703 | AIF Securities (Cayman) Ltd. | 12-Oct-16 | | | | X | |
| 25008 | ALEXANDRIA GLOBAL INVESTMENT MANAGEMENT LTD. | 17-Aug-04 | | X | | | |
| 1444682 | Allied Mercantile LP Limited | 18-Jul-18 | | | | | X |
| 1448274 | ATC Brokers Limited | 5-Nov-18 | X | | | | |
| 25002 | BIAS (Cayman) Limited | 4-Oct-06 | X | | X | | |
| 896523 | Blacktower Financial Management (International) Limited | 24-Jan-14 | | X | X | | |
| 25015 | Butterfield Bank (Cayman) Limited | 27-Apr-04 | X | X | X | | |
| 1458866 | BYFX Global Co., Limited | 17-Jul-18 | | | | | X |
| 25010 | Cayman National Securities Ltd. | 11-Apr-05 | X | X | X | | |
| 25001 | CIBC Bank and Trust Company (Cayman) Limited | 2-Mar-04 | X | X | X | | |
| 25031 | CITCO Bank and Trust Company Limited | 20-Jun-05 | | | | X | |
| 25018 | Delta Bank and Trust Company, Grand Cayman | 6-Apr-04 | | X | | | |
| 25034 | EFG Wealth Management (Cayman) Ltd. | 8-Aug-07 | X | X | X | X | X |
| 200012 | FalconView Securities Ltd. | 8-Nov-11 | X | | X | X | |
| 25013 | FirstCaribbean International Bank (Cayman) Limited | 4-Apr-05 | X | X | X | X | |
| 25022 | Five Continents Financial Limited | 27-Apr-04 | | X | | | |
| 25033 | GAIN Global Markets, Inc. | 1-Jan-07 | | | | X | X |
| 1442313 | HYCM Ltd | 17-May-18 | X | | | | |
| 1231532 | Hypa Asset Management Limited | 24-Jun-15 | | X | X | | |
| 1444866 | ICC Intercertus Capital (Cayman) Ltd | 27-Jul-18 | X | | | | |
| 25028 | International Financial Planning (Cayman) Limited | 4-Jan-05 | | | X | X | |
| 1445894 | LCG Int. Limited | 29-May-18 | | | | | X |
| 1478481 | Liberty Wealth Partners Ltd. | 24-Jul-18 | | X | X | | |
| 1455005 | Lion Brokers Limited | 23-Aug-18 | X | | | | X |
| 1165861 | Merrill Lynch Bank and Trust Company (Cayman) Limited | 1-Dec-14 | X | | | X | |
| 25017 | Millennium bcp Bank & Trust | 10-Aug-04 | X | X | X | | |
| 25039 | NCB Capital Markets (Cayman) Ltd. | 6-May-08 | | | X | X | X |
| 647732 | ONETRADEX LTD. | 4-Dec-13 | X | | | | |
| 25011 | RBC Dominion Securities Global Limited | 1-Mar-05 | X | X | X | | |
| 1125062 | RiverCrossing Investment Manager Limited | 2-Dec-14 | | X | | | |
| 1147635 | Sackville Bank and Trust Company Limited | 7-Mar-16 | | | | X | |
| 25023 | Scotiabank & Trust (Cayman) Ltd. | 15-Feb-05 | X | X | X | | |
| 585163 | Tradeview Ltd. | 4-Apr-12 | X | | | | |
| 1383491 | Vantage International Group Limited | 25-May-18 | X | | | | |
| 25037 | VBT Bank & Trust, Ltd. | 17-May-07 | X | | | X | |
| 1314670 | VietNam Holding Asset Management Ltd. | 26-Jul-17 | | X | X | | |
| Total Securities Investment Business Licensees: | | 36 | | | | | |