



REPORTING SCHEDULE FOR PRUDENTIAL REPORTS, STATISTICAL RETURNS AND
FINANCIAL INFORMATION
TO THE
CAYMAN ISLANDS MONETARY AUTHORITY
2017 - 2018

- 1. This schedule covers the period from *1 December 2017 to 31 December 2018*. Not all the reports listed are required from each reporting institution. Individual institutions that have been specifically requested by the Cayman Islands Monetary Authority to complete certain forms, or to report under a different frequency than shown, should continue to follow their special arrangements.**
- 2. Reporting institutions are reminded to submit all forms promptly and within the stipulated deadlines.**
- 3. Any foreseen difficulty in meeting the required deadlines should be reported to the Cayman Islands Monetary Authority Divisional Owner, seeking formal extensions within the application period stipulated where necessary. The contact details for these can be found at the end of this document.**

| Reporting Date | Forms | Reporting deadlines | Maximum Extension Period/s available ¹ | Divisional Owner |
|---------------------|--|---|---|-----------------------------|
| | Entity: BANKS & TRUST COMPANIES | | | |
| Year-End/ Annual | Banks and Trust Companies - Audited Financial Statements | 3 months after financial year-end | 90 calendar days in 30 calendar day increments | Banking Division |
| | Entity: DEVELOPMENT BANKS | | | |
| Year-End/ Annual | Audited Financial Statements | 7 months after financial year-end | 90 calendar days in 30 calendar day increments | Banking Division |
| Year-End/ Annual | Auditor's certificate of the existence of adequate procedures under MLR & PCCL | 3 months after financial year-end | 90 calendar days in 30 calendar day increments | Banking Division |
| | Entity: CREDIT UNIONS | | | |
| Year-End/ Annual | Audited Financial Statements | 3 months after financial year-end | 90 calendar days in 30 calendar day increments | Banking Division |
| | Entity: BUILDING SOCIETIES | | | |
| Year-End/ Annual | Audited Financial Statements | 14 days after presentation at the annual or other general meeting | 90 calendar days in 30 calendar day increments | Banking Division |
| | Entity: MONEY SERVICES BUSINESS | | | |
| Year-End/ Annual | Audited Financial Statements | 3 months after financial year-end | 90 calendar days in 30 calendar day increments | Banking Division |
| Year-End/ Annual | Auditor's certificate of the existence of adequate procedures under the PCCL | 3 months after financial year-end | 90 calendar days in 30 calendar day increments | Banking Division |
| | Entity: INVESTMENTS & SECURITIES | | | |
| Year-End/ Annual | Mutual Funds - Fund Annual Return (FAR) & Audited Financial Statements | 6 months after financial year-end | 90 calendar days in 30 calendar day increments | Investments & Securities |
| Year-End/ Annual | Mutual Fund Administrators - Audited Financial Statements | 6 months after financial year-end | 90 calendar days in 30 calendar day increments | Investments & Securities |
| Year-End/ Annual | Securities Investment Business Companies (Licensees) - Audited Financial Statements | 6 months after financial year-end | 90 calendar days in 30 calendar day increments | Investments & Securities |
| Year-End/ Annual | Securities Investment Business Companies (Licensees) - Certificate of Compliance | 6 months after financial year-end | 90 calendar days in 30 calendar day increments | Investments & Securities |
| | Entity: COMPANY MANAGERS & UNRESTRICTED TRUSTS | | | |
| Year-End/ Annual | Company Managers' & Unrestricted Trusts - Audited Financial Statements | 3 months after financial year-end | 30 calendar days | Fiduciary Services Division |
| | Entity: INSURANCE COMPANIES, MANAGERS, AGENTS AND BROKERS | | | |
| Year-End/ Annual | Class 'A' (Approved External) Insurer's Annual Return & Audited Financial Statements | 6 months after financial year-end | 60 calendar days | Insurance Division |
| Year-End/ Annual | Class 'A' (Approved External) Confirmation of funds required per section 15 (1) of the Insurance Law, 2010 | 2 months after financial year-end | No extensions available | Insurance Division |
| Year-End/ Annual | Class 'A' (Locally Incorporated) Insurer's Annual Return & Audited Financial Statements | 6 months after financial year-end | 60 calendar days | Insurance Division |
| Year-End/ Annual | Class 'B'(i), B (ii), B (iii), C, D Annual REEFS Return, Annual Returns & Audited Financial Statements | 6 months after financial year-end | 60 calendar days | Insurance Division |
| Year-End/ Annual | Insurance Manager's Annual Return | 6 months after financial year-end | 60 calendar days | Insurance Division |
| Year-End/ Annual | Insurance Agent's Annual Return | 6 months after calendar year-end | 60 calendar days | Insurance Division |
| Year-End/ Annual | Insurance Broker's - Annual Return | 6 months after financial year-end | 60 calendar days | Insurance Division |

¹ All reference to days are calendar days.

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|----------------------|-----------------------------|-----------|-------------|------------------|----------------------|
| | Entity: ALL ENTITIES | | | | |
| December 2017 | Non-Compliant Accounts Form | Wednesday | 31-Jan-2018 | 30 calendar days | Policy & Development |
| December 2018 | Non-Compliant Accounts Form | Tuesday | 30-Jan-2019 | 30 calendar days | Policy & Development |

Quarterly and Bi-Annual Forms

| Reporting Date | Forms | Reporting deadlines | | Maximum Extension Period/s available | Divisional Owner |
|-----------------------|---|---------------------|-------------|---|---------------------------------|
| December 2017 | Money Services Business Form | Tuesday | 30-Jan-2018 | 30 calendar days | Banking Division |
| | Banks with Controlled Subsidiary Declaration | Tuesday | 30-Jan-2018 | 30 calendar days | Banking Division |
| | Quarterly Return – Credit Unions & Building Societies | Tuesday | 30-Jan-2018 | 30 calendar days | Banking Division |
| | Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report | Wednesday | 28-Feb-2018 | Deadline of 30 January 2018 extended due to the revision of the BAR and ACL reports not being available on 1 January 2018 | Fiduciary Services Division |
| | Private Trust Company Declaration | Wednesday | 31-Jan-2018 | No extension available | Fiduciary Services Division |
| | Controlled Subsidiary Declaration | Wednesday | 31-Jan-2018 | No extension available | Fiduciary Services Division |
| | Securities Investment Business Quarterly Financial Reporting Form | Tuesday | 23-Jan-2018 | 30 calendar days | Securities Supervision Division |
| | Locational Banking Statistics (LBS) Survey | Friday | 26-Jan-2018 | 30 calendar days | Policy & Development |
| | Domestic Banking Activity (DBA Form) | Friday | 26-Jan-2018 | 10 calendar days | Policy & Development |
| | Coordinated Portfolio Investment Survey (CPIS) | Monday | 26-Feb-2018 | 60 calendar days in 30 day increments | Policy & Development |
| | Quarterly Return Class 'A' Insurance Co.(Local and Approved External) | Thursday | 15-Feb-2018 | No extensions available | Insurance Division |
| | | | | | |
| March 2018 | Money Services Business Form | Monday | 30-Apr-2018 | 30 calendar days | Banking Division |
| | Quarterly Return – Credit Unions & Building Societies | Monday | 30-Apr-2018 | 30 calendar days | Banking Division |
| | Securities Investment Quarterly Financial Reporting Form | Monday | 30-Apr-2018 | 30 calendar days | Securities Supervision Division |
| | Locational Banking Statistics (LBS) Survey | Friday | 27-Apr-2018 | 30 calendar days | Policy & Development |
| | Domestic Banking Activity (DBA Form) | Friday | 27-Apr-2018 | 14 calendar days | Policy & Development |
| | Quarterly Return Class 'A' Insurance Co.(Local and Approved External) | Tuesday | 15-May-2018 | No extensions available | Insurance Division |
| | | | | | |
| June 2018 | Money Services Business Form | Monday | 30-Jul-2018 | 30 calendar days | Banking Division |
| | Quarterly Return – Credit Unions & Building Societies | Monday | 30-Jul-2018 | 30 calendar days | Banking Division |
| | Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report | Monday | 30-Jul-2018 | No extension available | Fiduciary Services Division |
| | Securities Investment Business Quarterly Financial Reporting Form | Monday | 23-Jul-2018 | 30 calendar days | Securities Supervision Division |
| | Locational Banking Statistics (LBS) Survey | Tuesday | 31-Jul-2018 | 30 calendar days | Policy & Development |
| | Domestic Banking Activity (DBA Form) | Tuesday | 31-Jul-2018 | 10 calendar days | Policy & Development |
| | Coordinated Portfolio Investment Survey (CPIS) | Friday | 31-Aug-2018 | 60 calendar days in 30 day increments | Policy & Development |
| | Quarterly Return Class 'A' Insurance Co.(Local and Approved External) | Wednesday | 15-Aug-2018 | No extensions available | Insurance Division |
| | | | | | |
| September 2018 | Money Services Business Form | Tuesday | 30-Oct-2018 | 30 calendar days | Banking Division |
| | Quarterly Return – Credit Unions & Building Societies | Tuesday | 30-Oct-2018 | 30 calendar days | Banking Division |
| | Securities Investment Business Quarterly Financial Reporting Form | Friday | 19-Oct-2018 | 30 calendar days | Securities Supervision Division |
| | Locational Banking Statistics (LBS) Survey | Wednesday | 31-Oct-2018 | 30 calendar days | Policy & Development |
| | Domestic Banking Activity (DBA Form) | Wednesday | 31-Oct-2018 | 10 calendar days | Policy & Development |
| | Quarterly Return Class 'A' Insurance Co.(Local and Approved External) | Monday | 15-Oct-2018 | No extensions available | Insurance Division |
| | | | | | |
| December 2018 | Money Services Business Form | Wednesday | 30-Jan-2019 | 30 calendar days | Banking Division |
| | Banks with Controlled Subsidiary Declaration | Wednesday | 30-Jan-2019 | 30 calendar days | Banking Division |
| | Quarterly Return – Credit Unions & Building Societies | Wednesday | 30-Jan-2019 | 30 calendar days | Banking Division |
| | Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report | Wednesday | 30-Jan-2019 | No extension available | Fiduciary Services Division |
| | Private Trust Company Declaration | Thursday | 31-Jan-2019 | No extension available | Fiduciary Services Division |
| | Controlled Subsidiary Declaration | Thursday | 31-Jan-2019 | No extension available | Fiduciary Services Division |
| | Securities Investment Business Quarterly Financial Reporting Form | Wednesday | 23-Jan-2019 | 30 calendar days | Securities Supervision Division |
| | Locational Banking Statistics (LBS) Survey | Thursday | 31-Jan-2019 | 30 calendar days | Policy & Development |
| | Domestic Banking Activity (DBA Form) | Thursday | 31-Jan-2019 | 10 calendar days | Policy & Development |
| | Coordinated Portfolio Investment Survey (CPIS) | Thursday | 28-Feb-2019 | 60 calendar days in 30 day increments | Policy & Development |
| | Quarterly Return Class 'A' Insurance Co.(Local and Approved External) | Friday | 15-Feb-2019 | No extensions available | Insurance Division |

BANKING SUPERVISION DIVISION

Banks with Financial Year End - (January, April, July, October)

| | | | | | |
|-----------------|----------------------|-----------|-------------|------------------|------------------|
| Jan-2018 | Basel II & QPRs Form | Friday | 02-Mar-2018 | 30 Calendar Days | Banking Division |
| Apr-2018 | Basel II & QPRs Form | Wednesday | 30-May-2018 | 30 Calendar Days | Banking Division |
| Jul-2018 | Basel II & QPRs Form | Thursday | 30-Aug-2018 | 30 Calendar Days | Banking Division |
| Oct-2018 | Basel II & QPRs Form | Friday | 30-Nov-2018 | 30 Calendar Days | Banking Division |

Banks with Financial Year End - (February, May, August, November)

| | | | | | |
|-----------------|----------------------|--------|-------------|------------------|------------------|
| Feb-2018 | Basel II & QPRs Form | Friday | 30-Mar-2018 | 30 Calendar Days | Banking Division |
| May-2018 | Basel II & QPRs Form | Friday | 29-Jun-2018 | 30 Calendar Days | Banking Division |
| Aug-2018 | Basel II & QPRs Form | Friday | 28-Sep-2018 | 30 Calendar Days | Banking Division |
| Nov-2018 | Basel II & QPRs Form | Monday | 31-Dec-2018 | 30 Calendar Days | Banking Division |

Banks with Financial Year End - (March, June, September, December)

| | | | | | |
|-----------------|----------------------|-----------|-------------|------------------|------------------|
| Mar-2018 | Basel II & QPRs Form | Monday | 30-Apr-2018 | 30 Calendar Days | Banking Division |
| Jun-2018 | Basel II & QPRs Form | Monday | 30-Jul-2018 | 30 Calendar Days | Banking Division |
| Sep-2018 | Basel II & QPRs Form | Tuesday | 30-Oct-2018 | 30 Calendar Days | Banking Division |
| Dec-2018 | Basel II & QPRs Form | Wednesday | 30-Jan-2019 | 30 Calendar Days | Banking Division |

Basel II - Pillar II ICAAP (2016 Year Ends) - 4 Months after Financial Year-End

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|---------------|----------------------------|-----------|-------------|------------------|------------------|
| Sep-18 | Basel II - Pillar II ICAAP | Monday | 29-Jan-2018 | 30 Calendar Days | Banking Division |
| Oct-18 | Basel II - Pillar II ICAAP | Wednesday | 28-Feb-2018 | 30 Calendar Days | Banking Division |
| Nov-18 | Basel II - Pillar II ICAAP | Friday | 30-Mar-2018 | 30 Calendar Days | Banking Division |
| Dec-18 | Basel II - Pillar II ICAAP | Monday | 30-Apr-2018 | 30 Calendar Days | Banking Division |

Basel II - Pillar II ICAAP (2017 Year Ends) - 4 Months after Financial Year-End

| | | | | | |
|---------------|----------------------------|-----------|-------------|------------------|------------------|
| Jan-18 | Basel II - Pillar II ICAAP | Thursday | 31-May-2018 | 30 Calendar Days | Banking Division |
| Feb-18 | Basel II - Pillar II ICAAP | Thursday | 28-Jun-2018 | 30 Calendar Days | Banking Division |
| Mar-18 | Basel II - Pillar II ICAAP | Monday | 30-Jul-2018 | 30 Calendar Days | Banking Division |
| Apr-18 | Basel II - Pillar II ICAAP | Tuesday | 28-Aug-2018 | 30 Calendar Days | Banking Division |
| May-18 | Basel II - Pillar II ICAAP | Friday | 28-Sep-2018 | 30 Calendar Days | Banking Division |
| Jun-18 | Basel II - Pillar II ICAAP | Monday | 29-Oct-2018 | 30 Calendar Days | Banking Division |
| Jul-18 | Basel II - Pillar II ICAAP | Wednesday | 28-Nov-2018 | 30 Calendar Days | Banking Division |
| Aug-18 | Basel II - Pillar II ICAAP | Monday | 31-Dec-2018 | 30 Calendar Days | Banking Division |
| Sep-18 | Basel II - Pillar II ICAAP | Monday | 28-Jan-2019 | 30 Calendar Days | Banking Division |
| Oct-18 | Basel II - Pillar II ICAAP | Thursday | 28-Feb-2019 | 30 Calendar Days | Banking Division |
| Nov-18 | Basel II - Pillar II ICAAP | Friday | 29-Mar-2019 | 30 Calendar Days | Banking Division |
| Dec-18 | Basel II - Pillar II ICAAP | Tuesday | 30-Apr-2019 | 30 Calendar Days | Banking Division |

Divisional Contact Details

| Divisional Owner | Contact Name(s) | E-Mail | Telephone | Facsimile |
|---|---|--|------------------|------------------|
| Banking Division | Sheena Taylor | contactbanking@cimoney.com.ky | +345 244 1606 | +345 945 6132 |
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