



REPORTING SCHEDULE FOR PRUDENTIAL REPORTS, STATISTICAL RETURNS AND
FINANCIAL INFORMATION
TO THE
CAYMAN ISLANDS MONETARY AUTHORITY
2017 - 2018

- 1. This schedule covers the period from *1 December 2017 to 31 December 2018*. Not all the reports listed are required from each reporting institution. Individual institutions that have been specifically requested by the Cayman Islands Monetary Authority to complete certain forms, or to report under a different frequency than shown, should continue to follow their special arrangements.**
- 2. Reporting institutions are reminded to submit all forms promptly and within the stipulated deadlines.**
- 3. Any foreseen difficulty in meeting the required deadlines should be reported to the Cayman Islands Monetary Authority Divisional Owner, seeking formal extensions within the application period stipulated where necessary. The contact details for these can be found at the end of this document.**

Reporting Date	Forms	Reporting deadlines	Maximum Extension Period/s available ¹	Divisional Owner
	Entity: BANKS & TRUST COMPANIES			
Year-End/ Annual	Banks and Trust Companies - Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: DEVELOPMENT BANKS			
Year-End/ Annual	Audited Financial Statements	7 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
Year-End/ Annual	Auditor's certificate of the existence of adequate procedures under MLR & PCCL	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: CREDIT UNIONS			
Year-End/ Annual	Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: BUILDING SOCIETIES			
Year-End/ Annual	Audited Financial Statements	14 days after presentation at the annual or other general meeting	90 calendar days in 30 calendar day increments	Banking Division
	Entity: MONEY SERVICES BUSINESS			
Year-End/ Annual	Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
Year-End/ Annual	Auditor's certificate of the existence of adequate procedures under the PCCL	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	Entity: INVESTMENTS & SECURITIES			
Year-End/ Annual	Mutual Funds - Fund Annual Return (FAR) & Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Investments & Securities
Year-End/ Annual	Mutual Fund Administrators - Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Investments & Securities
Year-End/ Annual	Securities Investment Business Companies (Licensees) - Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Investments & Securities
Year-End/ Annual	Securities Investment Business Companies (Licensees) - Certificate of Compliance	6 months after financial year-end	90 calendar days in 30 calendar day increments	Investments & Securities
	Entity: COMPANY MANAGERS & UNRESTRICTED TRUSTS			
Year-End/ Annual	Company Managers' & Unrestricted Trusts - Audited Financial Statements	3 months after financial year-end	30 calendar days	Fiduciary Services Division
	Entity: INSURANCE COMPANIES, MANAGERS, AGENTS AND BROKERS			
Year-End/ Annual	Class 'A' (Approved External) Insurer's Annual Return & Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Class 'A' (Approved External) Confirmation of funds required per section 15 (1) of the Insurance Law, 2010	2 months after financial year-end	No extensions available	Insurance Division
Year-End/ Annual	Class 'A' (Locally Incorporated) Insurer's Annual Return & Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Class 'B'(i), B (ii), B (iii), C, D Annual REEFS Return, Annual Returns & Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Insurance Manager's Annual Return	6 months after financial year-end	60 calendar days	Insurance Division
Year-End/ Annual	Insurance Agent's Annual Return	6 months after calendar year-end	60 calendar days	Insurance Division
Year-End/ Annual	Insurance Broker's - Annual Return	6 months after financial year-end	60 calendar days	Insurance Division

¹ All reference to days are calendar days.

	Entity: ALL ENTITIES				
December 2017	Non-Compliant Accounts Form	Wednesday	31-Jan-2018	30 calendar days	Policy & Development
December 2018	Non-Compliant Accounts Form	Tuesday	30-Jan-2019	30 calendar days	Policy & Development

Quarterly and Bi-Annual Forms

Reporting Date	Forms	Reporting deadlines		Maximum Extension Period/s available	Divisional Owner
December 2017	Money Services Business Form	Tuesday	30-Jan-2018	30 calendar days	Banking Division
	Banks with Controlled Subsidiary Declaration	Tuesday	30-Jan-2018	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Tuesday	30-Jan-2018	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Wednesday	28-Feb-2018	Deadline of 30 January 2018 extended due to the revision of the BAR and ACL reports not being available on 1 January 2018	Fiduciary Services Division
	Private Trust Company Declaration	Wednesday	31-Jan-2018	No extension available	Fiduciary Services Division
	Controlled Subsidiary Declaration	Wednesday	31-Jan-2018	No extension available	Fiduciary Services Division
	Securities Investment Business Quarterly Financial Reporting Form	Tuesday	23-Jan-2018	30 calendar days	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Friday	26-Jan-2018	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Friday	26-Jan-2018	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Monday	26-Feb-2018	60 calendar days in 30 day increments	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Thursday	15-Feb-2018	No extensions available	Insurance Division
March 2018	Money Services Business Form	Monday	30-Apr-2018	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Monday	30-Apr-2018	30 calendar days	Banking Division
	Securities Investment Quarterly Financial Reporting Form	Monday	30-Apr-2018	30 calendar days	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Friday	27-Apr-2018	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Friday	27-Apr-2018	14 calendar days	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Tuesday	15-May-2018	No extensions available	Insurance Division
June 2018	Money Services Business Form	Monday	30-Jul-2018	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Monday	30-Jul-2018	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Monday	30-Jul-2018	No extension available	Fiduciary Services Division
	Securities Investment Business Quarterly Financial Reporting Form	Monday	23-Jul-2018	30 calendar days	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Tuesday	31-Jul-2018	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Tuesday	31-Jul-2018	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Friday	31-Aug-2018	60 calendar days in 30 day increments	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Wednesday	15-Aug-2018	No extensions available	Insurance Division
September 2018	Money Services Business Form	Tuesday	30-Oct-2018	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Tuesday	30-Oct-2018	30 calendar days	Banking Division
	Securities Investment Business Quarterly Financial Reporting Form	Friday	19-Oct-2018	30 calendar days	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Wednesday	31-Oct-2018	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Wednesday	31-Oct-2018	10 calendar days	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Monday	15-Oct-2018	No extensions available	Insurance Division
December 2018	Money Services Business Form	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Banks with Controlled Subsidiary Declaration	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Wednesday	30-Jan-2019	No extension available	Fiduciary Services Division
	Private Trust Company Declaration	Thursday	31-Jan-2019	No extension available	Fiduciary Services Division
	Controlled Subsidiary Declaration	Thursday	31-Jan-2019	No extension available	Fiduciary Services Division
	Securities Investment Business Quarterly Financial Reporting Form	Wednesday	23-Jan-2019	30 calendar days	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Thursday	31-Jan-2019	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Thursday	31-Jan-2019	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Thursday	28-Feb-2019	60 calendar days in 30 day increments	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Friday	15-Feb-2019	No extensions available	Insurance Division

BANKING SUPERVISION DIVISION

Banks with Financial Year End - (January, April, July, October)

Jan-2018	Basel II & QPRs Form	Friday	02-Mar-2018	30 Calendar Days	Banking Division
Apr-2018	Basel II & QPRs Form	Wednesday	30-May-2018	30 Calendar Days	Banking Division
Jul- 2018	Basel II & QPRs Form	Thursday	30-Aug-2018	30 Calendar Days	Banking Division
Oct-2018	Basel II & QPRs Form	Friday	30-Nov-2018	30 Calendar Days	Banking Division

Banks with Financial Year End - (February, May, August, November)

Feb- 2018	Basel II & QPRs Form	Friday	30-Mar-2018	30 Calendar Days	Banking Division
May-2018	Basel II & QPRs Form	Friday	29-Jun-2018	30 Calendar Days	Banking Division
Aug-2018	Basel II & QPRs Form	Friday	28-Sep-2018	30 Calendar Days	Banking Division
Nov-2018	Basel II & QPRs Form	Monday	31-Dec- 2018	30 Calendar Days	Banking Division

Banks with Financial Year End - (March, June, September, December)

Mar-2018	Basel II & QPRs Form	Monday	30-Apr-2018	30 Calendar Days	Banking Division
Jun-2018	Basel II & QPRs Form	Monday	30-Jul-2018	30 Calendar Days	Banking Division
Sep-2018	Basel II & QPRs Form	Tuesday	30-Oct-2018	30 Calendar Days	Banking Division
Dec-2018	Basel II & QPRs Form	Wednesday	30-Jan-2019	30 Calendar Days	Banking Division

Basel II - Pillar II ICAAP (2017 Year Ends) - 4 Months after Financial Year-End

Sep-17	Basel II - Pillar II ICAAP	Monday	29-Jan-2018	30 Calendar Days	Banking Division
Oct-17	Basel II - Pillar II ICAAP	Wednesday	28-Feb-2018	30 Calendar Days	Banking Division
Nov-17	Basel II - Pillar II ICAAP	Friday	30-Mar-2018	30 Calendar Days	Banking Division
Dec-17	Basel II - Pillar II ICAAP	Monday	30-Apr-2018	30 Calendar Days	Banking Division

Basel II - Pillar II ICAAP (2018 Year Ends) - 4 Months after Financial Year-End

Jan-18	Basel II - Pillar II ICAAP	Thursday	31-May-2018	30 Calendar Days	Banking Division
Feb-18	Basel II - Pillar II ICAAP	Thursday	28-Jun-2018	30 Calendar Days	Banking Division
Mar-18	Basel II - Pillar II ICAAP	Monday	30-Jul-2018	30 Calendar Days	Banking Division
Apr-18	Basel II - Pillar II ICAAP	Tuesday	28-Aug-2018	30 Calendar Days	Banking Division
May-18	Basel II - Pillar II ICAAP	Friday	28-Sep-2018	30 Calendar Days	Banking Division
Jun-18	Basel II - Pillar II ICAAP	Monday	29-Oct-2018	30 Calendar Days	Banking Division
Jul-18	Basel II - Pillar II ICAAP	Wednesday	28-Nov-2018	30 Calendar Days	Banking Division
Aug-18	Basel II - Pillar II ICAAP	Monday	31-Dec-2018	30 Calendar Days	Banking Division
Sep-18	Basel II - Pillar II ICAAP	Monday	28-Jan-2019	30 Calendar Days	Banking Division
Oct-18	Basel II - Pillar II ICAAP	Thursday	28-Feb-2019	30 Calendar Days	Banking Division
Nov-18	Basel II - Pillar II ICAAP	Friday	29-Mar-2019	30 Calendar Days	Banking Division
Dec-18	Basel II - Pillar II ICAAP	Tuesday	30-Apr-2019	30 Calendar Days	Banking Division

Divisional Contact Details

Divisional Owner	Contact Name(s)	E-Mail	Telephone	Facsimile
Banking Division	Sheena Taylor	contactbanking@cimoney.com.ky	+345 244 1606	+345 945 6132
Policy & Development Division - Statistics Unit	Jackie Powell Marsden Yoshneck Mutomba Ronette Johnson-Jurn	j.powellmarsden@cimoney.com.ky y.mutomba@cimoney.com.ky r.johnson-jurn@cimoney.com.ky d.forbes@cimoney.com.ky	+345 244 1655	+345 945 3909
Fiduciary Services Division	Viola Whittaker	cmform@cimoney.com.ky	+345 244 1552	+345 946-4230
Investments and Securities Division	Heather Clarke	contactinvestments@cimoney.com.ky	+345 244 1566	+345 949 2532
Insurance Division	Ruwan Jayasekera	r.jayasekera@cimoney.com.ky ContactInsurance@cimoney.com.ky	+345 244 1554	+345 946 5611