

REPORTING SCHEDULE FOR PRUDENTIAL REPORTS, STATISTICAL RETURNS AND FINANCIAL INFORMATION

TO THE

CAYMAN ISLANDS MONETARY AUTHORITY

2018 - 2019

1. **This schedule covers the period from *1 December 2018 to 31 December 2019.* Not all the reports listed are required from each reporting institution. Individual institutions that have been specifically requested by the Cayman Islands Monetary Authority to complete certain forms, or to report under a different frequency than shown, should continue to follow their special arrangements.**
2. **Reporting institutions are reminded to submit all forms promptly and within the stipulated deadlines.**
3. **Any foreseen difficulty in meeting the required deadlines should be reported to the Cayman Islands Monetary Authority Divisional Owner, seeking formal extensions within the application period stipulated where necessary. The contact details for these can be found at the end of this document.**

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| --- | --- | --- | --- | --- | --- | --- |
| Reporting Date | Forms | **Reporting deadlines** | | Maximum Extension Period/s available[[1]](#footnote-2) | | Divisional Owner |
|  | Entity: BANKS & TRUST COMPANIES |  | |  | |  |
| Year-End/Annual | Banks and Trust Companies - Audited Financial Statements | 3 months after financial  year-end | | 90 calendar days in 30 calendar day increments | | Banking Division |
| Year-End/Annual | Private Trust Company Declaration | Prior to 1 Feb each | | No extension available | | Fiduciary ServicesDivision |
| Year-End/Annual | Controlled Subsidiary Declaration | Prior to 1 Feb each | | No extension available | | Fiduciary ServicesDivision |
|  | Entity: DEVELOPMENT BANKS |  | |  | |  |
| Year-End/Annual | Audited Financial Statements | 7 months after financial  year-end | | 90 calendar days in 30 calendar day increments | | Banking Division |
| Year-End/Annual | Auditor’s certificate of the existence of adequate procedures under MLR & PCCL | 3 months after financial  year-end | | 90 calendar days in 30 calendar day increments | | Banking Division |
|  | Entity: CREDIT UNIONS |  | |  | |  |
| Year-End/Annual | Audited Financial Statements | 3 months after financial  year-end | | 90 calendar days in 30 calendar day increments | | Banking Division |
|  | Entity: BUILDING SOCIETIES |  | |  | |  |
| Year-End/Annual | Audited Financial Statements | 14 days after presentation at the annual or other general meeting | | 90 calendar days in 30 calendar day increments | | Banking Division |
|  | Entity: MONEY SERVICES BUSINESS |  | |  | |  |
| Year-End/Annual | Audited Financial Statements | 3 months after financial  year-end | | 90 calendar days in 30 calendar day increments | | Banking Division |
| Year-End/Annual | Auditor’s certificate of the existence of adequate procedures under the PCCL | 3 months after financial  year-end | | 90 calendar days in 30 calendar day increments | | Banking Division |
|  | Entity: INVESTMENTS LICENSEES |  | |  | |  |
| Year-End/Annual | Mutual Funds - Fund Annual Return (FAR)& Audited Financial Statements | 6 months after financial  year-end | | 90 calendar days in 30 calendar day increments | | Investments Supervision |
| Year-End/Annual | Mutual Fund Administrators - Audited Financial Statements | 6 months after financial  year- end | | 90 calendar days in 30 calendar day increments | | Investments Supervision |
| Year-End/Annual | EU Connected Funds – Written Confirmation and Declaration | 6 months after financial  year- end | | No extensions available | | Investments Supervision |
|  | Entity: SECURITIES LICENSEES |  | |  | |  |
| Year-End/Annual | Securities Investment Business Companies (Licensees) - Audited Financial Statements | 6 months after financial  year- end | | 90 calendar days in 30 calendar day increments | | Securities Supervision |
| Year-End/Annual | Securities Investment Business Companies (Licensees) - Certificate of Compliance | 6 months after financial  year- end | | No extensions available | | Securities Supervision |
| Year-End/Annual | Securities Investment Business – Alternative Investment Fund Manager – Annual Report | 6 months after financial  year- end | | No extensions available | | Securities Supervision |
| Year-End/Annual | Securities Investment Business Companies (Licensees) - Insurance | Prior to 15 January of each | | No extensions available | | Securities Supervision |
| Year-End/Annual | Securities Investment Business – Excluded Persons – Annual Declaration Form | Prior to 15 January of each | | No extensions available | | Securities Supervision |
|  | Entity: COMPANY MANAGERS & UNRESTRICTED TRUSTS | | | | | |
| Year-End/Annual | Holders of a Companies Management Licence – Audited Financial Statements | 6 months after financial year- end | | 90 calendar days in 30 calendar dayincrements | | Fiduciary ServicesDivision |
| Year-End/Annual | Unrestricted Trust Licence - Audited Financial Statements | 3 months after financial  year- end | | 30 calendar days | | Fiduciary ServicesDivision |
|  | Entity: INSURANCE COMPANIES, MANAGERS, AGENTS AND BROKERS | | | | | |
| Year-End/Annual | Class ‘A’ (Approved External) Insurer’s Annual Return including Audited Financial Statements | 6 months after financial  year-end | | | 60 calendar days | Insurance Division |
| Year-End/Annual | Class ‘A’ (Approved External) Confirmation of funds required per section 15 (1) of the Insurance Law, 2010 | 2 months after financial  year-end | | | 30 calendar days | Insurance Division |
| Year-End/ **Annual** | Class ‘A’ (Locally Incorporated) Insurer’s Annual Return including Audited Financial Statements | 6 months after financial  year-end | | | 60 calendar days | Insurance Division |
| Year-End/ **Annual** | Class ‘B’(i), B (ii), B (iii), C, D Insurer’s  Annual Returns including Audited Financial Statements | 6 months after financial  year-end | | | 60 calendar days | Insurance Division |
| Year-End/ **Annual** | Insurance Manager’s Annual Return | 6 months after financial  year-end | | | 60 calendar days | Insurance Division |
| Year-End/ **Annual** | Insurance Agent’s Annual Return | 6 months after calendar  year-end | | | 60 calendar days | Insurance Division |
| Year-End/ **Annual** | Insurance Broker’s - Annual Return | 6 months after financial  year-end | | | 60 calendar days | Insurance Division |
|  | Entity: ALL ENTITIES |  | | |  |  |
| **December 2018** | Non-Compliant Accounts Form | Wednesday | 31-Jan-2019 | | 30 calendar days | Policy & Development |
| **December 2019** | Non-Compliant Accounts Form | Tuesday | 30-Jan-2020 | | 30 calendar days | Policy & Development |
|  |  |  | | |  |  |
|  | Monthly, Quarterly and Semi-Annual, Forms |  | | |  |  |
| Reporting Date | Forms | **Reporting deadlines** | | | Maximum Extension Period/s available | Divisional Owner |
| **December 2018** | Money Services Business Quarterly Return Form | Wednesday | 30-Jan-2019 | | 30 calendar days | Banking Division |
|  | Money Services Business Currency Transaction Report | Wednesday | 30-Jan-2019 | | 30 calendar days | Banking Division |
|  | Banks with Controlled Subsidiary Declaration | Wednesday | 30-Jan-2019 | | 30 calendar days | Banking Division |
|  | Quarterly Return – Credit Unions & Building Societies | Wednesday | 30-Jan-2019 | | 30 calendar days | Banking Division |
|  | Foreclosure Disclosure Form | Wednesday | 30-Jan-2019 | | 30 calendar days | Banking Division |
|  | Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report | Wednesday | 30-Jan-2019 | | No extension available | Fiduciary Services Division and Banking Division |
|  | Securities Investment Business Quarterly Financial Reporting Form | Wednesday | 21-Jan-2019 | | 15 business days at the end of each  month for broker-dealers and market  makers; or 15 business days at the end  of each quarter for any other licensable  activity. | Securities Supervision Division |
|  | Locational Banking Statistics (LBS) Survey | Thursday | 31-Jan-2019 | | 30 calendar days | Policy & Development |
|  | Domestic Banking Activity (DBA Form) | Thursday | 31-Jan-2019 | | 10 calendar days | Policy & Development |
|  | Coordinated Portfolio Investment Survey (CPIS) | Thursday | 28-Feb-2019 | | 60 calendar days in 30 day increments | Policy &Development |
|  | Quarterly Return Class ‘A’ Insurance Co.(Local and Approved External) | Friday | 15-Feb-2019 | | 30 calendar days | Insurance Division |
| **March 2019** | Money Services Business Quarterly Return Form | Tuesday | 30-Apr-2019 | | 30 calendar days | Banking Division |
|  | Money Services Business Currency Transaction Report | Tuesday | 30-Apr-2019 | | 30 calendar days | Banking Division |
|  | Quarterly Return – Credit Unions & Building Societies | Tuesday | 30-Apr-2019 | | 30 calendar days | Banking Division |
|  | Foreclosure Disclosure Form | Tuesday | 30-Apr-2019 | | 30 calendar days | Banking Division |
|  | Securities Investment Business Quarterly Financial Reporting Form | Tuesday | 23-Apr-2019 | | 15 business days at the end of each  month for broker-dealers and market  makers; or 15 business days at the end  of each quarter for any other licensable  activity. | Securities Supervision Division |
|  | Locational Banking Statistics (LBS) Survey | Friday | 26-Apr-2019 | | 30 calendar days | Policy & Development |
|  | Domestic Banking Activity (DBA Form) | Friday | 26-Apr-2019 | | 14 calendar days | Policy & Development |
|  | Quarterly Return Class ‘A’ Insurance Co.(Local and Approved External) | Wednesday | 15-May-2019 | | 30 calendar days | Insurance Division |
| **June 2019** | Money Services Business Quarterly Return Form | Tuesday | 30-Jul-2019 | | 30 calendar days | Banking Division |
|  | Money Services Business Currency Transaction Report | Tuesday | 30-Jul-2019 | | 30 calendar days | Banking Division |
|  | Quarterly Return – Credit Unions & Building Societies | Tuesday | 30-Jul-2019 | | 30 calendar days | Banking Division |
|  | Foreclosure Disclosure Form | Tuesday | 30-Jul-2019 | | 30 calendar days | Banking Division |
|  | Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report | Tuesday | 30-Jul-2019 | | No extension available | Fiduciary Services Division and Banking Division |
|  | Securities Investment Business Quarterly Financial Reporting Form | Tuesday | 23-Jul-2019 | | 15 business days at the end of each month for broker-dealers and market makers; or 15 business days at the end of each quarter for any other licensable activity. | Securities Supervision Division |
|  | Locational Banking Statistics (LBS) Survey | Wednesday | 31-Jul-2019 | | 30 calendar days | Policy & Development |
|  | Domestic Banking Activity (DBA Form) | Wednesday | 31-Jul-2019 | | 10 calendar days | Policy & Development |
|  | Coordinated Portfolio Investment Survey (CPIS) | Friday | 30-Aug-2019 | | 60 calendar days in 30 day increments | Policy & Development |
|  | Quarterly Return Class ‘A’ Insurance Co.(Local and Approved External) | Thursday | 15-Aug-2019 | | 30 calendar days | Insurance Division |
| **September 2019** | Money Services Business Quarterly Return Form | Wednesday | 30-Oct-2019 | | 30 calendar days | Banking Division |
|  | Money Services Business Currency Transaction Report | Wednesday | 30-Oct-2019 | | 30 calendar days | Banking Division |
|  | Quarterly Return – Credit Unions & Building Societies | Wednesday | 30-Oct-2019 | | 30 calendar days | Banking Division |
|  | Foreclosure Disclosure Form | Wednesday | 30-Oct-2019 | | 30 calendar days | Banking Division |
|  | Securities Investment Business Quarterly Financial Reporting Form | Wednesday | 23-Oct-2019 | | 15 business days at the end of each  month for broker-dealers and market  makers; or 15 business days at the end  of each quarter for any other licensable  activity. | Securities Supervision Division |
|  | Locational Banking Statistics (LBS) Survey | Thursday | 31-Oct-2019 | | 30 calendar days | Policy & Development |
|  | Domestic Banking Activity (DBA Form) | Thursday | 31-Oct-2019 | | 10 calendar days | Policy & Development |
|  | Quarterly Return Class ‘A’ Insurance Co.(Local and Approved External) | Friday | 15-Nov-2019 | | 30 calendar days | Insurance Division |
| **December 2019** | Money Services Business Quarterly Return Form | Thursday | 30-Jan-2020 | | 30 calendar days | Banking Division |
|  | Money Services Business Currency Transaction Report | Thursday | 30-Jan-2020 | | 30 calendar days | Banking Division |
|  | Banks with Controlled Subsidiary Declaration | Thursday | 30-Jan-2020 | | 30 calendar days | Banking Division |
|  | Quarterly Return – Credit Unions & Building Societies | Thursday | 30-Jan-2020 | | 30 calendar days | Banking Division |
|  | Foreclosure Disclosure Form | Thursday | 30-Jan-2020 | | 30 calendar days | Banking Division |
|  | Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report | Thursday | 30-Jan-2020 | | No extension available | Fiduciary Services Division and Banking Division |
|  | Securities Investment Business Quarterly Financial Reporting Form | Thursday | 21-Jan-2020 | | 15 business days at the end of each  month for broker-dealers and market  makers; or 15 business days at the end  of each quarter for any other licensable  activity. | Securities Supervision Division |
|  | Locational Banking Statistics (LBS) Survey | Friday | 31-Jan-2020 | | 30 calendar days | Policy & Development |
|  | Domestic Banking Activity (DBA Form) | Friday | 31-Jan-2020 | | 10 calendar days | Policy & Development |
|  | Coordinated Portfolio Investment Survey (CPIS) | Friday | 28-Feb-2020 | | 60 calendar days in 30 day increments | Policy &Development |
|  | Quarterly Return Class ‘A’ Insurance Co.(Local and Approved External) | Friday | 14-Feb-2020 | | 30 calendar days | Insurance Division |

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| **BANKING SUPERVISION DIVISION** | | | | | |
| **Banks with Financial Year End - (January, April, July, October)** | | | | | |
| **Jan-2019** | Basel II & QPRs Form | Friday | 01-Mar-2019 | 10 Working Days | Banking Division |
| **Apr-2019** | Basel II & QPRs Form | Thursday | 30-May-2019 | 10 Working Days | Banking Division |
| **Jul- 2019** | Basel II & QPRs Form | Friday | 30-Aug-2019 | 10 Working Days | Banking Division |
| **Oct-2019** | Basel II & QPRs Form | Friday | 29-Nov-2019 | 10 Working Days | Banking Division |
| **Banks with Financial Year End - (February, May, August, November)** | | | | | |
| **Feb- 2019** | Basel II & QPRs Form | Friday | 29-Mar-2019 | 10 Working Days | Banking Division |
| **May-2019** | Basel II & QPRs Form | Friday | 28-Jun-2019 | 10 Working Days | Banking Division |
| **Aug-2019** | Basel II & QPRs Form | Friday | 27-Sep-2019 | 10 Working Days | Banking Division |
| **Nov-2019** | Basel II & QPRs Form | Tuesday | 31-Dec- 2019 | 10 Working Days | Banking Division |
| **Banks with Financial Year End - (March, June, September, December)** | | | | | |
| **Mar-2019** | Basel II & QPRs Form | Tuesday | 30-Apr-2019 | 10 Working Days | Banking Division |
| **Jun-2019** | Basel II & QPRs Form | Tuesday | 30-Jul-2019 | 10 Working Days | Banking Division |
| **Sep-2019** | Basel II & QPRs Form | Wednesday | 30-Oct-2019 | 10 Working Days | Banking Division |
| **Dec-2019** | Basel II & QPRs Form | Thursday | 30-Jan-2020 | 10 Working Days | Banking Division |
| **Basel II - Pillar II ICAAP Annual Form - 4 Months after Financial Year-End** | | | | | |
| **Sep-18** | Basel II - Pillar II ICAAP | Wednesday | 30-Jan-2019 | 30 calendar days | Banking Division |
| **Oct-18** | Basel II - Pillar II ICAAP | Wednesday | 27-Feb-2019 | 30 calendar days | Banking Division |
| **Nov-18** | Basel II - Pillar II ICAAP | Friday | 29-Mar-2019 | 30 calendar days | Banking Division |
| **Dec-18** | Basel II - Pillar II ICAAP | Monday | 29-Apr-2019 | 30 calendar days | Banking Division |
| **Jan-19** | Basel II - Pillar II ICAAP | Thursday | 30-May-2019 | 30 calendar days | Banking Division |
| **Feb-19** | Basel II - Pillar II ICAAP | Friday | 28-Jun-2019 | 30 calendar days | Banking Division |
| **Mar-19** | Basel II - Pillar II ICAAP | Tuesday | 30-Jul-2019 | 30 calendar days | Banking Division |
| **Apr-19** | Basel II - Pillar II ICAAP | Friday | 30-Aug-2019 | 30 calendar days | Banking Division |
| **May-19** | Basel II - Pillar II ICAAP | Friday | 27-Sep-2019 | 30 calendar days | Banking Division |
| **Jun-19** | Basel II - Pillar II ICAAP | Wednesday | 30-Oct-2019 | 30 calendar days | Banking Division |
| **Jul-19** | Basel II - Pillar II ICAAP | Friday | 29-Nov-2019 | 30 calendar days | Banking Division |
| **Aug-19** | Basel II - Pillar II ICAAP | Monday | 30-Dec-2019 | 30 calendar days | Banking Division |
| **Sep-19** | Basel II - Pillar II ICAAP | Thursday | 30-Jan-2020 | 30 calendar days | Banking Division |
| **Oct-19** | Basel II - Pillar II ICAAP | Thursday | 27-Feb-2020 | 30 calendar days | Banking Division |
| **Nov-19** | Basel II - Pillar II ICAAP | Monday | 30-Mar-2020 | 30 calendar days | Banking Division |
| **Dec-19** | Basel II - Pillar II ICAAP | Wednesday | 29-Apr-2020 | 30 calendar days | Banking Division |
| **Basel III - Liquidity Coverage, Net Stable Funding and Minimum Liquidity Ratio Forms** | | | | | |
| **Jun-19** | Basel III - Liquidity Coverage Ratio Form | Monday | 15-July-2019 | 5 working days | Banking Division |
| **Jul-19** | Basel III - Liquidity Coverage Ratio Form | Wednesday | 14-Aug-2019 | 5 working days | Banking Division |
| **Aug-19** | Basel III - Liquidity Coverage Ratio Form | Monday | 16-Sept-2019 | 5 working days | Banking Division |
| **Sep-19** | Basel III - Liquidity Coverage Ratio Form | Monday | 14-Oct-2019 | 5 working days | Banking Division |
| **Oct-19** | Basel III - Liquidity Coverage Ratio Form | Thursday | 14-Nov-2019 | 5 working days | Banking Division |
| **Nov-19** | Basel III - Liquidity Coverage Ratio Form | Monday | 16-Dec-2019 | 5 working days | Banking Division |
| **Dec-19** | Basel III - Liquidity Coverage Ratio Form | Tuesday | 14-Jan-2020 | 5 working days | Banking Division |
|  |  |  |  |  |  |
| **Jun-19** | Basel III - Net Stable Funding Ratio Form | Monday | 15-July-2019 | 5 working days | Banking Division |
| **Jul-19** | Basel III - Net Stable Funding Ratio Form | Wednesday | 14-Aug-2019 | 5 working days | Banking Division |
| **Aug-19** | Basel III - Net Stable Funding Ratio Form | Monday | 16-Sept-2019 | 5 working days | Banking Division |
| **Sep-19** | Basel III - Net Stable Funding Ratio Form | Monday | 14-Oct-2019 | 5 working days | Banking Division |
| **Oct-19** | Basel III - Net Stable Funding Ratio Form | Thursday | 14-Nov-2019 | 5 working days | Banking Division |
| **Nov-19** | Basel III - Net Stable Funding Ratio Form | Monday | 16-Dec-2019 | 5 working days | Banking Division |
| **Dec-19** | Basel III - Net Stable Funding Ratio Form | Tuesday | 14-Jan-2020 | 5 working days | Banking Division |
|  |  |  |  |  |  |
| **Jun-19** | Basel III - Minimum Liquidity Ratio Form | Monday | 15-July-2019 | 5 working days | Banking Division |
| **Jul-19** | Basel III - Minimum Liquidity Ratio Form | Wednesday | 14-Aug-2019 | 5 working days | Banking Division |
| **Aug-19** | Basel III - Minimum Liquidity Ratio Form | Monday | 16-Sept-2019 | 5 working days | Banking Division |
| **Sep-19** | Basel III - Minimum Liquidity Ratio Form | Monday | 14-Oct-2019 | 5 working days | Banking Division |
| **Oct-19** | Basel III - Minimum Liquidity Ratio Form | Thursday | 14-Nov-2019 | 5 working days | Banking Division |
| **Nov-19** | Basel III - Minimum Liquidity Ratio Form | Monday | 16-Dec-2019 | 5 working days | Banking Division |
| **Dec-19** | Basel III - Minimum Liquidity Ratio Form | Tuesday | 14-Jan-2020 | 5 working days | Banking Division |

**Divisional Contact Details**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Divisional Owner** | **Contact Name(s)** | **E-Mail** | **Telephone** | **Facsimile** |
| Banking Division | Sheena Taylor | [contactbanking@cimoney.com.ky](mailto:msbforms@cimoney.com.ky) | +345 244 1606 |  |
| Policy & Development Division - Statistics Unit | Jackie Powell Marsden  Yoshneck Mutomba  Ronette Johnson-Jurn  David Forbes  Jerome Bailey | [j.powellmarsden@cimoney.com.ky](mailto:j.powellmarsden@cimoney.com.ky)  [y.mutomba@cimoney.com.ky](mailto:y.mutomba@cimoney.com.ky)  [r.johnson-jurn@cimoney.com.ky](mailto:r.johnson-jurn@cimoney.com.ky)  [d.forbes@cimoney.com.ky](mailto:d.forbes@cimoney.com.ky)  [j.bailey@cimoney.com.ky](mailto:j.bailey@cimoney.com.ky) | *+*345 244 1655 |  |
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1. All reference to days are calendar days. [↑](#footnote-ref-2)