



REPORTING SCHEDULE FOR PRUDENTIAL REPORTS, STATISTICAL RETURNS AND  
FINANCIAL INFORMATION  
TO THE  
CAYMAN ISLANDS MONETARY AUTHORITY  
2018 - 2019

- 1. This schedule covers the period from *1 December 2018 to 31 December 2019*. Not all the reports listed are required from each reporting institution. Individual institutions that have been specifically requested by the Cayman Islands Monetary Authority to complete certain forms, or to report under a different frequency than shown, should continue to follow their special arrangements.**
- 2. Reporting institutions are reminded to submit all forms promptly and within the stipulated deadlines.**
- 3. Any foreseen difficulty in meeting the required deadlines should be reported to the Cayman Islands Monetary Authority Divisional Owner, seeking formal extensions within the application period stipulated where necessary. The contact details for these can be found at the end of this document.**

Reporting Date	Forms	Reporting deadlines	Maximum Extension Period/s available <sup>1</sup>	Divisional Owner
	<b>Entity: BANKS &amp; TRUST COMPANIES</b>			
Year-End/Annual	Banks and Trust Companies - Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
Year-End/Annual	Private Trust Company Declaration	Prior to 1 Feb each	No extension available	Fiduciary Services Division
Year-End/Annual	Controlled Subsidiary Declaration	Prior to 1 Feb each	No extension available	Fiduciary Services Division
	<b>Entity: DEVELOPMENT BANKS</b>			
Year-End/Annual	Audited Financial Statements	7 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
Year-End/Annual	Auditor's certificate of the existence of adequate procedures under MLR & PCCL	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	<b>Entity: CREDIT UNIONS</b>			
Year-End/Annual	Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	<b>Entity: BUILDING SOCIETIES</b>			
Year-End/Annual	Audited Financial Statements	14 days after presentation at the annual or other general meeting	90 calendar days in 30 calendar day increments	Banking Division
	<b>Entity: MONEY SERVICES BUSINESS</b>			
Year-End/Annual	Audited Financial Statements	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
Year-End/Annual	Auditor's certificate of the existence of adequate procedures under the PCCL	3 months after financial year-end	90 calendar days in 30 calendar day increments	Banking Division
	<b>Entity: INVESTMENTS LICENSEES</b>			
Year-End/Annual	Mutual Funds - Fund Annual Return (FAR) & Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Investments Supervision
Year-End/Annual	Mutual Fund Administrators - Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Investments Supervision
Year-End/Annual	EU Connected Funds – Written Confirmation and Declaration	6 months after financial year-end	No extensions available	Investments Supervision
	<b>Entity: SECURITIES LICENSEES</b>			
Year-End/Annual	Securities Investment Business Companies (Licensees) - Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Securities Supervision
Year-End/Annual	Securities Investment Business Companies (Licensees) - Certificate of Compliance	6 months after financial year-end	No extensions available	Securities Supervision
Year-End/Annual	Securities Investment Business – Alternative Investment Fund Manager – Annual Report	6 months after financial year-end	No extensions available	Securities Supervision
Year-End/Annual	Securities Investment Business Companies (Licensees) - Insurance	Prior to 15 January of each	No extensions available	Securities Supervision
Year-End/Annual	Securities Investment Business – Excluded Persons – Annual Declaration Form	Prior to 15 January of each	No extensions available	Securities Supervision
	<b>Entity: COMPANY MANAGERS &amp; UNRESTRICTED TRUSTS</b>			
Year-End/Annual	Holders of a Companies Management Licence – Audited Financial Statements	6 months after financial year-end	90 calendar days in 30 calendar day increments	Fiduciary Services Division
Year-End/Annual	Unrestricted Trust Licence - Audited Financial Statements	3 months after financial year-end	30 calendar days	Fiduciary Services Division
	<b>Entity: INSURANCE COMPANIES, MANAGERS, AGENTS AND BROKERS</b>			
Year-End/Annual	Class 'A' (Approved External) Insurer's Annual Return including Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division

<sup>1</sup> All reference to days are calendar days.

<b>Year-End/ Annual</b>	Class 'A' (Approved External) Confirmation of funds required per section 15 (1) of the Insurance Law, 2010	2 months after financial year-end	30 calendar days	Insurance Division	
<b>Year-End/ Annual</b>	Class 'A' (Locally Incorporated) Insurer's Annual Return including Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division	
<b>Year-End/ Annual</b>	Class 'B'(i), B (ii), B (iii), C, D Insurer's Annual Returns including Audited Financial Statements	6 months after financial year-end	60 calendar days	Insurance Division	
<b>Year-End/ Annual</b>	Insurance Manager's Annual Return	6 months after financial year-end	60 calendar days	Insurance Division	
<b>Year-End/ Annual</b>	Insurance Agent's Annual Return	6 months after calendar year-end	60 calendar days	Insurance Division	
<b>Year-End/ Annual</b>	Insurance Broker's - Annual Return	6 months after financial year-end	60 calendar days	Insurance Division	
	<b>Entity: ALL ENTITIES</b>				
<b>December 2018</b>	Non-Compliant Accounts Form	Wednesday	31-Jan-2019	30 calendar days	Policy & Development
<b>December 2019</b>	Non-Compliant Accounts Form	Tuesday	30-Jan-2020	30 calendar days	Policy & Development

### Monthly, Quarterly and Semi-Annual, Forms

Reporting Date	Forms	Reporting deadlines		Maximum Extension Period/s available	Divisional Owner
<b>December 2018</b>	Money Services Business Quarterly Return Form	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Money Services Business Currency Transaction Report	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Banks with Controlled Subsidiary Declaration	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Foreclosure Disclosure Form	Wednesday	30-Jan-2019	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Wednesday	30-Jan-2019	No extension available	Fiduciary Services Division and Banking Division
	Securities Investment Business Quarterly Financial Reporting Form	Wednesday	21-Jan-2019	15 business days at the end of each month for broker-dealers and market makers; or 15 business days at the end of each quarter for any other licensable activity.	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Thursday	31-Jan-2019	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Thursday	31-Jan-2019	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Thursday	28-Feb-2019	60 calendar days in 30 day increments	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Friday	15-Feb-2019	30 calendar days	Insurance Division
<b>March 2019</b>	Money Services Business Quarterly Return Form	Tuesday	30-Apr-2019	30 calendar days	Banking Division
	Money Services Business Currency Transaction Report	Tuesday	30-Apr-2019	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Tuesday	30-Apr-2019	30 calendar days	Banking Division
	Foreclosure Disclosure Form	Tuesday	30-Apr-2019	30 calendar days	Banking Division
	Securities Investment Business Quarterly Financial Reporting Form	Tuesday	23-Apr-2019	15 business days at the end of each month for broker-dealers and market makers; or 15 business days at the end of each quarter for any other licensable activity.	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Friday	26-Apr-2019	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Friday	26-Apr-2019	14 calendar days	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Wednesday	15-May-2019	30 calendar days	Insurance Division
<b>June 2019</b>	Money Services Business Quarterly Return Form	Tuesday	30-Jul-2019	30 calendar days	Banking Division
	Money Services Business Currency Transaction Report	Tuesday	30-Jul-2019	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Tuesday	30-Jul-2019	30 calendar days	Banking Division
	Foreclosure Disclosure Form	Tuesday	30-Jul-2019	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Tuesday	30-Jul-2019	No extension available	Fiduciary Services Division and Banking Division
	Securities Investment Business Quarterly Financial Reporting Form	Tuesday	23-Jul-2019	15 business days at the end of each month for broker-dealers and market makers; or 15 business days at the end of each quarter for any other licensable activity.	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Wednesday	31-Jul-2019	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Wednesday	31-Jul-2019	10 calendar days	Policy & Development

	Coordinated Portfolio Investment Survey (CPIS)	Friday	30-Aug-2019	60 calendar days in 30 day increments	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Thursday	15-Aug-2019	30 calendar days	Insurance Division
<b>September 2019</b>	Money Services Business Quarterly Return Form	Wednesday	30-Oct-2019	30 calendar days	Banking Division
	Money Services Business Currency Transaction Report	Wednesday	30-Oct-2019	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Wednesday	30-Oct-2019	30 calendar days	Banking Division
	Foreclosure Disclosure Form	Wednesday	30-Oct-2019	30 calendar days	Banking Division
	Securities Investment Business Quarterly Financial Reporting Form	Wednesday	23-Oct-2019	15 business days at the end of each month for broker-dealers and market makers; or 15 business days at the end of each quarter for any other licensable activity.	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Thursday	31-Oct-2019	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Thursday	31-Oct-2019	10 calendar days	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Friday	15-Nov-2019	30 calendar days	Insurance Division
<b>December 2019</b>	Money Services Business Quarterly Return Form	Thursday	30-Jan-2020	30 calendar days	Banking Division
	Money Services Business Currency Transaction Report	Thursday	30-Jan-2020	30 calendar days	Banking Division
	Banks with Controlled Subsidiary Declaration	Thursday	30-Jan-2020	30 calendar days	Banking Division
	Quarterly Return – Credit Unions & Building Societies	Thursday	30-Jan-2020	30 calendar days	Banking Division
	Foreclosure Disclosure Form	Thursday	30-Jan-2020	30 calendar days	Banking Division
	Company Managers', Corporate Service Providers & Unrestricted Trusts - Bi-Annual Report	Thursday	30-Jan-2020	No extension available	Fiduciary Services Division and Banking Division
	Securities Investment Business Quarterly Financial Reporting Form	Thursday	21-Jan-2020	15 business days at the end of each month for broker-dealers and market makers; or 15 business days at the end of each quarter for any other licensable activity.	Securities Supervision Division
	Locational Banking Statistics (LBS) Survey	Friday	31-Jan-2020	30 calendar days	Policy & Development
	Domestic Banking Activity (DBA Form)	Friday	31-Jan-2020	10 calendar days	Policy & Development
	Coordinated Portfolio Investment Survey (CPIS)	Friday	28-Feb-2020	60 calendar days in 30 day increments	Policy & Development
	Quarterly Return Class 'A' Insurance Co.(Local and Approved External)	Friday	14-Feb-2020	30 calendar days	Insurance Division

**BANKING SUPERVISION DIVISION**

**Banks with Financial Year End - (January, April, July, October)**

<b>Jan-2019</b>	Basel II & QPRs Form	Friday	01-Mar-2019	10 Working Days	Banking Division
<b>Apr-2019</b>	Basel II & QPRs Form	Thursday	30-May-2019	10 Working Days	Banking Division
<b>Jul-2019</b>	Basel II & QPRs Form	Friday	30-Aug-2019	10 Working Days	Banking Division
<b>Oct-2019</b>	Basel II & QPRs Form	Friday	29-Nov-2019	10 Working Days	Banking Division

**Banks with Financial Year End - (February, May, August, November)**

<b>Feb-2019</b>	Basel II & QPRs Form	Friday	29-Mar-2019	10 Working Days	Banking Division
<b>May-2019</b>	Basel II & QPRs Form	Friday	28-Jun-2019	10 Working Days	Banking Division
<b>Aug-2019</b>	Basel II & QPRs Form	Friday	27-Sep-2019	10 Working Days	Banking Division
<b>Nov-2019</b>	Basel II & QPRs Form	Tuesday	31-Dec-2019	10 Working Days	Banking Division

**Banks with Financial Year End - (March, June, September, December)**

<b>Mar-2019</b>	Basel II & QPRs Form	Tuesday	30-Apr-2019	10 Working Days	Banking Division
<b>Jun-2019</b>	Basel II & QPRs Form	Tuesday	30-Jul-2019	10 Working Days	Banking Division
<b>Sep-2019</b>	Basel II & QPRs Form	Wednesday	30-Oct-2019	10 Working Days	Banking Division
<b>Dec-2019</b>	Basel II & QPRs Form	Thursday	30-Jan-2020	10 Working Days	Banking Division

**Basel II - Pillar II ICAAP Annual Form - 4 Months after Financial Year-End**

<b>Sep-18</b>	Basel II - Pillar II ICAAP	Wednesday	30-Jan-2019	30 calendar days	Banking Division
<b>Oct-18</b>	Basel II - Pillar II ICAAP	Wednesday	27-Feb-2019	30 calendar days	Banking Division
<b>Nov-18</b>	Basel II - Pillar II ICAAP	Friday	29-Mar-2019	30 calendar days	Banking Division
<b>Dec-18</b>	Basel II - Pillar II ICAAP	Monday	29-Apr-2019	30 calendar days	Banking Division
<b>Jan-19</b>	Basel II - Pillar II ICAAP	Thursday	30-May-2019	30 calendar days	Banking Division
<b>Feb-19</b>	Basel II - Pillar II ICAAP	Friday	28-Jun-2019	30 calendar days	Banking Division
<b>Mar-19</b>	Basel II - Pillar II ICAAP	Tuesday	30-Jul-2019	30 calendar days	Banking Division
<b>Apr-19</b>	Basel II - Pillar II ICAAP	Friday	30-Aug-2019	30 calendar days	Banking Division
<b>May-19</b>	Basel II - Pillar II ICAAP	Friday	27-Sep-2019	30 calendar days	Banking Division
<b>Jun-19</b>	Basel II - Pillar II ICAAP	Wednesday	30-Oct-2019	30 calendar days	Banking Division
<b>Jul-19</b>	Basel II - Pillar II ICAAP	Friday	29-Nov-2019	30 calendar days	Banking Division
<b>Aug-19</b>	Basel II - Pillar II ICAAP	Monday	30-Dec-2019	30 calendar days	Banking Division
<b>Sep-19</b>	Basel II - Pillar II ICAAP	Thursday	30-Jan-2020	30 calendar days	Banking Division
<b>Oct-19</b>	Basel II - Pillar II ICAAP	Thursday	27-Feb-2020	30 calendar days	Banking Division
<b>Nov-19</b>	Basel II - Pillar II ICAAP	Monday	30-Mar-2020	30 calendar days	Banking Division
<b>Dec-19</b>	Basel II - Pillar II ICAAP	Wednesday	29-Apr-2020	30 calendar days	Banking Division

**Basel III - Liquidity Coverage, Net Stable Funding and Minimum Liquidity Ratio Forms**

<b>Jun-19</b>	Basel III - Liquidity Coverage Ratio Form	Monday	15-July-2019	5 working days	Banking Division
<b>Jul-19</b>	Basel III - Liquidity Coverage Ratio Form	Wednesday	14-Aug-2019	5 working days	Banking Division
<b>Aug-19</b>	Basel III - Liquidity Coverage Ratio Form	Monday	16-Sept-2019	5 working days	Banking Division
<b>Sep-19</b>	Basel III - Liquidity Coverage Ratio Form	Monday	14-Oct-2019	5 working days	Banking Division
<b>Oct-19</b>	Basel III - Liquidity Coverage Ratio Form	Thursday	14-Nov-2019	5 working days	Banking Division
<b>Nov-19</b>	Basel III - Liquidity Coverage Ratio Form	Monday	16-Dec-2019	5 working days	Banking Division
<b>Dec-19</b>	Basel III - Liquidity Coverage Ratio Form	Tuesday	14-Jan-2020	5 working days	Banking Division
<b>Jun-19</b>	Basel III - Net Stable Funding Ratio Form	Monday	15-July-2019	5 working days	Banking Division
<b>Jul-19</b>	Basel III - Net Stable Funding Ratio Form	Wednesday	14-Aug-2019	5 working days	Banking Division
<b>Aug-19</b>	Basel III - Net Stable Funding Ratio Form	Monday	16-Sept-2019	5 working days	Banking Division
<b>Sep-19</b>	Basel III - Net Stable Funding Ratio Form	Monday	14-Oct-2019	5 working days	Banking Division
<b>Oct-19</b>	Basel III - Net Stable Funding Ratio Form	Thursday	14-Nov-2019	5 working days	Banking Division
<b>Nov-19</b>	Basel III - Net Stable Funding Ratio Form	Monday	16-Dec-2019	5 working days	Banking Division
<b>Dec-19</b>	Basel III - Net Stable Funding Ratio Form	Tuesday	14-Jan-2020	5 working days	Banking Division
<b>Jun-19</b>	Basel III - Minimum Liquidity Ratio Form	Monday	15-July-2019	5 working days	Banking Division
<b>Jul-19</b>	Basel III - Minimum Liquidity Ratio Form	Wednesday	14-Aug-2019	5 working days	Banking Division
<b>Aug-19</b>	Basel III - Minimum Liquidity Ratio Form	Monday	16-Sept-2019	5 working days	Banking Division
<b>Sep-19</b>	Basel III - Minimum Liquidity Ratio Form	Monday	14-Oct-2019	5 working days	Banking Division
<b>Oct-19</b>	Basel III - Minimum Liquidity Ratio Form	Thursday	14-Nov-2019	5 working days	Banking Division
<b>Nov-19</b>	Basel III - Minimum Liquidity Ratio Form	Monday	16-Dec-2019	5 working days	Banking Division
<b>Dec-19</b>	Basel III - Minimum Liquidity Ratio Form	Tuesday	14-Jan-2020	5 working days	Banking Division

## Divisional Contact Details

Divisional Owner	Contact Name(s)	E-Mail	Telephone	Facsimile
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