



9 March 2020

## NOTICE

### **RE: DE-REGISTRATION OF SECURITIES INVESTMENT BUSINESS EXCLUDED PERSONS PURSUANT TO TRANSITIONAL PROVISIONS UNDER THE SECURITIES INVESTMENT BUSINESS (AMENDMENT) LAW, 2019**

**WHEREAS** the Authority gave notice on **19 June 2019** (the "Original Notice") of the obligation, pursuant to section 21(1)(a) of the Securities Investment Business (Amendment) Law, 2019 ("SIB Amendment Law"), of all persons registered as excluded persons under the Securities Investment Business Law (2019 Revision) ("SIBL EPs") to provide the required information set out in the Original Notice to the Authority by **15 January 2020**.

**AND WHEREAS** the Authority also gave notice, in the Original Notice, of the consequences for failing to provide the required information by the deadline.

**AND WHEREAS** on **9 August 2019**, the Authority reminded SIBL EPs of their obligation under the SIB Amendment Law to provide the required information to the Authority by the statutory deadline of **15 January 2020**, and the consequences for failing to do so.

**NOW THEREFORE**, the public is hereby advised that pursuant to section 21(2)(b) of the SIB Amendment Law, the following SIBL EPs, having failed to meet their obligation to provide the required information to the Authority by the statutory deadline of 15 January 2020, have been deregistered by the Authority and are no longer authorised to conduct securities investment business in or from within the Cayman Islands.

<b>Registration Number</b>	<b>Name</b>
1324945	A Plus Capital Management Limited
573333	Abax Genesis Capital
15615	Abax Global Capital
16623	AGC China Ltd.
1372560	Agg. Asset Management (Cayman) Limited
665092	Alltus Asset Limited
1540033	ALTFX LIMITED
1510235	Apolis Capital

1500041	Arlington International Asset Management Ltd.
1519125	Arrano Capital Management Limited
14939	Arrow Fund Management Limited
16173	Arunvill Capital Limited
1550850	Asdon Capital Management
16884	ASIA SELECT MANAGEMENT LIMITED
1163492	Astra Capital International Limited
1491696	Astronaut Capital Limited
1129310	Auctus Funds GP Ltd.
14380	Australia and New Zealand Banking Group Limited
16240	Avica Property Investors International Ltd.
909624	Banco Indusval S.A.
1167401	Benington Advisors Limited
1508043	Blackwell Global Capital Management Limited
1507892	Blue Sky IM Limited
14432	BNP Paribas
1461051	Bozhong Investment Management Limited
16031	Brazil Real Estate Opportunities Manager, Ltd.
1367354	Britannia Capital Markets
1245716	BSA Capital Management Limited
1364974	Caitong International Overseas Investment Limited
661076	Capital Financial Ltd. SEZC
1465704	CC Investment (Cayman) Limited
1268083	CEF Management Company
1187174	CFC-SK El Dorado LATAM Management Company, Ltd.
1482040	ChaoShang Asset Management (Cayman) Limited
1530093	Cheng Chuang Asset Management Limited

1530126	Cheung On Asset Management Limited
14874	Cinda Asset Management (Cayman) Limited
15184	Citizens Bank, National Association
1258353	Click Ventures Limited
1497075	Cloud Brook Fund Management Limited
16050	CLSA Fund Management Limited
570780	Concord National (Cayman) Limited
14387	CREDIT INDUSTRIEL ET COMMERCIAL
1354205	Cubetech Investment Ltd.
1369620	D.Leucas Asset Management (Cayman) Ltd.
1554361	DSD Capital Co., Ltd.
1552633	E15 Fund Advisors, LLC
905693	Eganov Asset Management Partners
1138550	Elephas Investment (Cayman) Limited
1479365	Ellison Investments Limited
14375	Emma Investment Consultants (Cayman) Limited
579924	Emperor Fund Management (Cayman) Limited
1279261	Emperor Tree Capital Limited
1346015	Enzo Investment Management Limited
1277496	Eons Energy Investment
1272040	Everett Capital Advisors Cayman LP
16200	Evo Associates Ltd.
1364786	First Seafront Management Ltd.
15120	FourWinds Capital Management
565062	FS Capital Management Limited
1373492	Fundamenta Asset Management
1497893	Fundrific Management Limited

565843	Funrich Investments Co., Ltd
1280462	FXI GLOBAL WEALTH MANAGEMENT CO.
1470501	Gafund Investment Management Company Limited
1502841	GAO YING CAPITAL LIMITED
1288744	Gatmoor Capital Management Inc.
1195471	GDAF Capital Management Limited
15007	Gefinor Capital Management Limited
1461950	Gennex Capital
16627	Ginseng Investment Management Ltd
16445	GNP Investment Funds Ltd.
1371576	Golden Future Investment Company
1502771	GOLDEN WATER CAPITAL LIMITED
16799	Goldenfaith Asset Management Limited
1519501	Grand Dynasty Capital Investment Co. Ltd.
1375242	Grand Fund Management (Cayman) Limited
1340286	Great Wall Modern Asset Management Company Limited
910302	GSMI Management Co. Ltd.
1363880	Hearts Capital (Asia) Limited
1257093	HGC Investment Management I Limited
1377740	Highland Capital Management Latin America, L.P.
672195	HIMALAYA (CAYMAN ISLANDS) FUND MANAGEMENT COMPANY LIMITED
1144684	Honeycomb Asset Management Co., Ltd.
1166185	Hongkong Bay Asset Management Limited
1022162	Hope Capital Limited
1552596	Huayi International Cultural Industry Fund Company
1317794	Infinity Capital (Cayman Islands) Limited
1302630	Infinity Frontier Asset Management Company Limited

1511904	Interstellar Financial Group
16777	Janchor Partners Management Limited
1322090	Jincheng Investment Co., Ltd.
559521	Jiuding Advisors Limited
664893	Jiuding Dingfeng Advisors Limited
1320966	Juhui Asset Management Limited
1324400	Jupai Asset Management Inc.
1554711	KAB Capital Market (Cayman) Limited
1497484	KBR Investment (Cayman) Limited
1464374	Khan International (Asia) Limited
1328025	Kingdom Asset Management Limited
1276645	Kingstone Capital International Limited
1022991	KRKL Limited
1459264	Krung Thai Bank Public Company Limited
15623	Kuwait LBO MGT Ltd.
15913	Latticework Ltd.
1242990	Laurium Capital International Ltd
566510	Leader Financial Asset Management Limited
1461865	Longrising Capital Management Co., Ltd.
1547490	Longstone Capital Management
1501452	Lotus-gesar League Investment Limited
558913	Magic Stone Asset Management Limited
637081	Maso Capital Offshore Limited
1486494	MDF Fund Management Co., Ltd.
1363375	MEK Capital (Asia) Limited
1443551	Mercedes Sky Management Limited
1283866	Millennium Institutional Services (Cayman) Limited

1194034	Milvus Asset Management Limited
15077	MMCAP Management Inc.
1363364	Mountain Top Capital Limited
594285	Nepoch Advisors Ltd.
1492632	NGSC Capital Limited
1351210	Northwestern Capital LLC
1196554	Nova Asia Asset Management Limited
1340323	Ocean Global Capital Management Limited
1342482	Ockham Inc.
1212245	Okumus Fund Management, Ltd.
1191363	OTS Capital Management (Cayman) Limited
569096	Outlook Asset Management L.P.
910221	P AND J INVESTMENT LTD.
1520831	Pagoda Asset Management Corporation
1487382	Pak-Gulf Property Advisors Limited
632015	Panther Asset Management Co., Ltd.
910232	Partners and Kingwin Asset Management Limited
16711	Parus Capital Limited
1379766	Pelion Finance Limited
14614	Penrich Active Capital Management, Ltd.
913776	Pinnacle Holdings Co. Limited
649213	PLG Investment Limited
1358431	Profectus Investment Limited
1211604	Property Company One Ltd
1283940	Property Company Two Ltd
1369056	Prosperity JT Investment Management Co., Ltd.
1354765	Q Venture Partners Limited

16069	Quantum Capital SEZC
648222	Quantum Asset Management Limited
14419	Republic Bank (Cayman) Limited
1529894	RHL Capital
16253	Saigon Asset Management Corporation
1519324	Sathorn Asset Management
1486822	Sator Square Capital Management LLC
1140274	SBD Capital Management Ltd
15461	SCIENS CFO I MANAGEMENT LTD.
1361146	Seacap Limited
1443621	Skye Assets Limited
1274361	Standard Perpetual Investment Management Limited
570802	Stanhope Overseas Limited
15554	STAR ASIA MANAGEMENT LTD.
1482364	Super Harvest Asset Management Limited
1477711	Super Harvest Smart Assets Management Limited
588674	Symphony Managers Limited
1537060	TANDLL Asset Management, Ltd
1531530	Tang Wealth International Asset Management Limited
1499002	Tech Global Management Ltd
15027	Temple Securities (Cayman) Ltd.
1299572	Three Man Partners Investment Management Ltd
1297052	TSG Asset Management Limited
16532	Two Fish Capital (Cayman) Limited
1244250	UCAP Cayman SEZC Ltd.
632074	Unicorn Capital Management
15787	UNITAS CAPITAL III LTD.

1528133	Vallotte Partners Limited
1472192	Value Creation Asset Management Ltd.
1331783	VMI Capital Group Limited
1506750	Vstar Investment Management Limited
577883	VVS Asset Management Ltd.
14551	Westpac Banking Corporation
1312006	Wisdom Tree Capital Management
1443304	WL Asset Management Limited
1464315	Yanqi Investment Management Co., Ltd
1478116	Yirun Capital
575805	Ypsilon Asset Management (Cayman) Limited
1521682	Ypsilon Point95 Digital Asset Management Limited
1505000	YSI Markets Corporation
1477744	Zhitong Capital