



31 August 2026

Dear Sirs/Mesdames,

**Re: Warning Notice – Non-Compliant Directors**

The list of registered directors ("the Directors") attached hereto as **Appendix 1** are non-compliant with the Directors Registration and Licensing Act, 2014 (as amended) ("the Act").

The Directors remain in breach of section 6(2) of the Act for failure to:

- Maintain his/her registration by providing the Authority with the relevant information in the prescribed form and pay the prescribed annual registration fee on or before the 15<sup>th</sup> January in each calendar year.

The Cayman Islands Monetary Authority ("the Authority") has made reasonable efforts to locate and correspond with the Directors, but to date has been unsuccessful. As a result, the Authority is posting this notice publicly in an effort to give notice to the Directors and all interested parties.

The Authority also gives notice that the lack of communication with the Authority may be considered when assessing the Directors' fitness and propriety to act as an authorised person in connection with other existing regulated mutual funds and/or registrants, licensees, or in future applications where fitness and propriety will be assessed.

Pursuant to section 25(1)(b) of the Act, the Authority may *take any of the actions in subsection (2) if the Authority is of the opinion that a registered director, professional director or corporate director, inter alia, has contravened this Act or any regulations made under this Act.*

**TAKE NOTICE:**

The Cayman Islands Monetary Authority of Pavilion East, Cricket Square, P.O. Box 10052, Grand Cayman KY1-1001, CAYMAN ISLANDS, proposes to take the following action.

**PROPOSED ACTION:**

The Authority proposes to cancel each Director's registration pursuant to section 25(2)(a) of the Act.

**REASONS:**

The Authority is, pursuant to section 25(1)(b) of the Act, of the opinion that, the Directors have contravened of the Act.

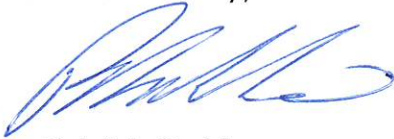
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**NOTICE OF REPRESENTATION:**

You may make written representations to the Authority. If you wish to make such representations you must do so within **45 days** of the date of this Warning Notice, or such longer period as requested of, and approved by the Authority. Where an extension to this time is required, a request for extension must be submitted within **10 days** of receiving the Notice. Written representations should be made to the Chief Executive Officer of the Authority, at the above address.

Please contact Audrey Roe, Head of Compliance Division at the Authority, at [ContactCompliance@cima.ky](mailto:ContactCompliance@cima.ky) for more information.

Yours sincerely,

A handwritten signature in blue ink, appearing to read 'Patrick Bodden', with a stylized flourish at the end.

**Patrick Bodden**  
**Acting Chief Executive Officer**

**APPENDIX 1 - LIST OF NON-COMPLIANT DIRECTORS**

| <b>Director ID #</b> | <b>Name</b>                                     |
|----------------------|-------------------------------------------------|
| 2005592              | Adam Stephen Radosti                            |
| 2673355              | Alauddin Ahmed                                  |
| 3187026              | Alexandar Zivic                                 |
| 1021974              | Alvaro Schocair Schocair De Souza Filho         |
| 3215730              | Amandine Pillet                                 |
| 2635640              | Amarjyot Singh Anand                            |
| 2631510              | Andres Esteban Sandate                          |
| 1946232              | Andrew Downes                                   |
| 2725181              | Andrew John Thornber                            |
| 1028101              | Andrew Monteith Keller                          |
| 3238723              | Ankur Seth                                      |
| 2740824              | Antonio Fraga Bahia                             |
| 2964990              | Ashminder Kaur                                  |
| 2784924              | Bernard Michael Sumner                          |
| 1907555              | Blair Irving Levinsky                           |
| 2834355              | Boisy Horatio Roberts Jr                        |
| 1964782              | Bruce John Wookey                               |
| 2637736              | Cedric Marie Joseph Arnaud Jeanson de Damoiseau |
| 2807792              | Chak Chung Ng                                   |
| 2593356              | Che Chan Loke                                   |
| 2510981              | Chen Pingfeng                                   |
| 2511320              | Chen RongBin                                    |
| 2456285              | Chen Yi                                         |
| 2593415              | Chong Kuang Lee                                 |
| 3248490              | Daniyar Kabdollauly                             |
| 2823096              | Daolong Li                                      |
| 1123511              | Darren Ronald Martian                           |
| 1791985              | David Biase                                     |
| 2379274              | David James Sheppard                            |
| 2038595              | Derek Hedley Longworth Buntain                  |
| 3339910              | Derong Chen                                     |
| 2511390              | Ding DaDu                                       |
| 3382164              | Don Nissanka                                    |
| 2522520              | Dongxun Sun                                     |
| 2106145              | Edward Bozaan                                   |
| 1909821              | Elise Yan Chiu Cheng                            |



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|         |                               |
|---------|-------------------------------|
| 3238701 | Eric Lee McWilliams           |
| 1169070 | Fabien Pictet                 |
| 2523485 | Feng Bi                       |
| 2250091 | Feng-Cheng Huang              |
| 1172485 | Fernando De Posadas Trambauer |
| 3295261 | Fernando Domingo Concha Ureta |
| 1878240 | Fran Hugh Scola               |
| 2422836 | Fu Bin                        |
| 2927831 | Gaeyoung Son                  |
| 1799254 | Gareth Paul Essex-Cater       |
| 1195191 | George Hamilton               |
| 2569151 | Georges El Khoury             |
| 2057366 | Gerhard Huber                 |
| 2647724 | German Vegarra Bocanegra      |
| 1210031 | Greg David Anderson           |
| 2937130 | Gregory Ernest Pappajohn      |
| 2551710 | Hok Man Li                    |
| 2501752 | Hong Liu                      |
| 2812961 | Hua Fan                       |
| 2254151 | Huei-Ying Chiu                |
| 1235975 | Igor Motorin                  |
| 1238252 | Ingrid Alice Brochard         |
| 1966716 | Iyad Malas                    |
| 3214046 | James Jonathan Robert Coats   |
| 2895045 | Jing Xiong                    |
| 2551721 | Jinxuan Xu                    |
| 2250113 | Joe Man Fai Chan              |
| 3061343 | John Hanna                    |
| 1999163 | John Hyde Murray              |
| 1271126 | John Michael Schrantz         |
| 2842641 | Joung Ik Hwang                |
| 2972104 | Julia Gromova                 |
| 3400143 | Jun Kai, Jeremy Tan           |
| 2519436 | Ketan Deshpande               |
| 1787623 | Kim Keung Ng                  |
| 3166866 | Kurt Daniel Suntay            |
| 1316722 | Lei Chen                      |
| 2842593 | Lixinmei Jin                  |
| 2803301 | Lizabeth Rose Boeckmann       |
| 2817135 | Lufeng Tong                   |

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|         |                                                |
|---------|------------------------------------------------|
| 2602552 | Luftur Waleed Satar                            |
| 2665095 | Mai Mounir Ghaly                               |
| 2428462 | Manoneet Singh                                 |
| 2832093 | Marco Antonio Bernardo Perea Gomez de la Torre |
| 1342806 | Mark McGrath                                   |
| 2360330 | Marlo Ovalles                                  |
| 1345831 | Martin E. Beaulieu                             |
| 2110673 | Matthew Alan Linsey                            |
| 2057370 | Michael Martin Janssen                         |
| 2698640 | Michael Meagher                                |
| 1886743 | Mina Faltas                                    |
| 2816015 | Ming Wei                                       |
| 2790266 | Ming Zhi Mei                                   |
| 2563212 | Minghai Li                                     |
| 1367973 | Minyoung Sohn                                  |
| 2462563 | Ngai Fai Cheng                                 |
| 2936732 | Nicholas Henrys                                |
| 1787612 | Ning Liu                                       |
| 2634494 | Noora Hamid                                    |
| 2814486 | Oliver Piers Alexander Gwyn Morris             |
| 2028014 | Olivier Claude Michel Bassou                   |
| 2069480 | Pavel Bogdanov (Pasha V Bogdanov)              |
| 2254140 | Pei-Sheng Lin                                  |
| 1415761 | Peter Bicknell Kellner                         |
| 3225876 | Pierre Bonart                                  |
| 2632151 | Pierre Bour                                    |
| 2653324 | Qiang Chen                                     |
| 2859124 | Qing Gao                                       |
| 2804222 | Ralph Campbell                                 |
| 2099311 | Reza Ali                                       |
| 2072560 | Richard Michael Schaeffer                      |
| 1447434 | Richard Paul Merage                            |
| 1902692 | Rishi Gautam                                   |
| 1458354 | Robert 'Bob' Press                             |
| 1865393 | Rodrigo Maringoni Simoes                       |
| 3006570 | Rohani Hasan                                   |
| 1466743 | Roni Dersovitz                                 |
| 2938272 | Ruixia Han                                     |
| 1473883 | Salvatore Muoio                                |
| 2818535 | Seamus Buckley                                 |

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|         |                     |
|---------|---------------------|
| 2590184 | Shailen Popatlal    |
| 3472103 | Shantanu Chaturvedi |
| 1942275 | Sheng Yuan          |
| 2005426 | Shlomo Kalman Gross |
| 2725306 | Simon Henry Clark   |
| 3397133 | Sin Tee Eugene Pong |
| 2791740 | Stephane Wrobel     |
| 1501835 | Stephen Castree     |
| 3003280 | Sui Ling Lam        |
| 2421296 | Tatenda Gotosa      |
| 3064880 | Thomas Hanna Jr     |
| 2839933 | Trevor Liburd       |
| 2779932 | Tz-Ying Chen        |
| 3071121 | Wai Kei Cheng       |
| 2775706 | Wei Su              |
| 2819843 | William Casey       |
| 2937126 | William Wood Allen  |
| 2382575 | Xin Chen            |
| 2551113 | Xin Lu              |
| 2551732 | Xishan Liu          |
| 2511073 | Xu Feng Sun         |
| 2511401 | Xu Haiou            |
| 2790756 | Yang Dong           |
| 2519414 | Yash Viresh Shah    |
| 2523500 | Ye Yang             |
| 3421751 | Yi Shi              |
| 2701963 | Yi Wang             |
| 2643675 | Yilei Shao          |
| 2523496 | Yin Ki Szeto        |
| 3451921 | Ying Wan            |
| 1862280 | Yiquan Cheng        |
| 2779921 | Yuan Yao            |
| 2784762 | Yuhuan Zhang        |
| 2111034 | Yui Ben Lai         |
| 3219716 | Yun-Hsi Lo          |
| 3279684 | Zhang Fufei         |
| 2511095 | Zheng Liu           |
| 2947836 | Zhenyi Zhang        |
| 3259071 | Zhi Lin             |