



27 November 2020

NOTICE

RE: Audited Financial Statements and Filing FAR Form 4.0

The Fund Annual Return ("FAR") Form version 4.0 (FAR-016-22-04) and updated [Completion Guide](#) are now available on the Authority's website. FAR Form 4.0 is an updated version and will replace FAR Form version 3.0 (FAR-016-22-03), which was released on 14 June 2016.

Various sections of FAR Form 4.0 have been updated to collect additional information and data as outlined in the Mutual Funds (Annual Returns) (Amendment) Regulations, 2020. The relevant sections of the [Completion Guide](#) have also been updated to clarify the reporting requirements under those sections.

Funds with a year-end date prior to 30 June 2020 may have already filed FAR Form 3.0 with the Authority and can continue to submit this version of the FAR. However, please be advised that effective 31 March 2021, the Regulatory Enhanced Electronic Forms Submission ("REEFS") platform will no longer accept FAR Form 3.0 and all funds should instead submit FAR Form 4.0 via REEFS.

FAR Form 4.0 issued on 27 November 2020 is required to be completed and submitted for funds with a financial year end of 30 June 2020 or later.

All current versions of the FAR forms are available [here](#) on the CIMA website.

If you require further information regarding FAR filings, please contact the Investments Supervision Division by email at ereporting@cima.ky.

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