



21 February 2024

## **NOTICE**

### **RE: Financial Sanctions**

1. The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.
2. **What you must do:**
  - A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
    - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
    - ii. Freeze any such accounts and other funds or economic resources.
    - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
    - iv. Report any findings to the Financial Reporting Authority ("FRA") at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) together with any additional information that would facilitate compliance with the relevant legislative requirements.
    - v. Provide any information concerning the frozen assets of designated persons to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.
  - B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
    - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
    - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
    - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.
    - iv. Send advice to the person that the assets are no longer subject to an asset freeze.

- v. Advise the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) of the actions taken.
3. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

**Further Information.**

4. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.  
[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf).
5. Enquiries regarding this sanctions notice should be addressed to  
The Sanctions Coordinator  
Financial Reporting Authority  
P.O. Box 1054  
Grand Cayman KY1-1102  
Cayman Islands  
[FinancialSanctions@gov.ky](mailto:FinancialSanctions@gov.ky)

---

**REGIME: Democratic Republic of the Congo**

**INDIVIDUAL**

1. **Names (Last):** NKALUBO (1): MOHAMED (2): ALI (3): n/a (4): n/a (5): n/a  
**Name (non-Latin):** n/a  
**Title:** n/a  
**Position:** Allied Democratic Forces (ADF) senior leader for operations/organization/support, and also communication and propaganda  
**A.K.A:** (1) ABOU SHAUKAN (2) ABU SHAUKAN (3) ABUL JIHAD (4) DEFENDER (5) KASIBANTE (6) MEDDIE LEE (7) MEDDIE NKALUBO (8) PUNISHER (9) PUNNY BOY  
**Date of Birth:** (1) 00/00/1993, (2) 00/00/1992, (3) 00/00/1987, (4) 00/00/1988, (5) 00/00/1991  
**Place of Birth:** Kampala  
**Nationality:** Uganda  
**Passport Number:** n/a **Passport Details:** n/a  
**Address:** n/a  
**Other Information** (UK Sanctions List Ref): DRC0069 (UN Ref): CDi.042 Listed pursuant to paragraphs 7 (b), 7 (d), 7 (e), and 7 (h) of resolution 2293 (2016) as “being political and military leaders of foreign armed groups operating in the DRC who impede the disarmament and the voluntary repatriation or resettlement of combatants belonging to those groups; “recruiting or using children in armed conflict in the DRC in violation of applicable international law; “planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals”; and “acting on behalf of or at the direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity”, as reaffirmed by paragraph 2 of resolution 2688 (2023). As a leader of ADF (CDe.001),

MOHAMED ALI NKALUBO is involved in planning, promulgating and supporting the armed group's activities. He has effective command and control over the group's combatants.

**Listed On:** 21/02/2024

**Last Updated:** 21/02/2024

**Group ID:** 16383

2. **Names (Last):** HASSAN (1): AHMAD (2): MAHMOOD (3): n/a (4): n/a (5): n/a

**Name (non-Latin):** n/a

**Title:** n/a

**Position:** (1) Lieutenant general (2) Senior leader of the Allied Democratic Forces (ADF) (CDe.001)

**A.K.A:** (1) ABU WAQAS (2) ABUWAKAS (3) ABWAKASI (4) AHMED MAHAMUD HASSAN ALIYANI (5) AHMAD MAHAMOOD HASSAN (6) AHMAD MAHMOUD HASSAN (7) AHMED MAHMOUD HASSAN (8) JUNDI (9) LEBLANC (10) MARABOU (11) MURABU (12) MWARABU (13) SAINT JOYAGE

**Date of Birth:** (1) 21/07/1997, (2) 00/00/1993

**Place of Birth:** n/a

**Nationality:** United Republic of Tanzania

**Passport Number:** (1) AB850901 (2) AB187304 **Passport Details:** (1) United Republic of Tanzania expires 11 Dec. 2026 (2) United Republic of Tanzania (Expired 28 Nov. 2016)

**Address:** n/a

**Other Information** (UK Sanctions List Ref): DRC0068 (UN Ref): CDi.040 Listed pursuant to paragraphs 7 (b), 7 (e), and 7 (h) of resolution 2293 (2016) and paragraph 3 of resolution 2641 (2022): "being political and military leaders of foreign armed groups operating in the DRC who impede the disarmament and the voluntary repatriation or resettlement of combatants belonging to those groups", "planning, directing, or committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals", "acting on behalf of or at the direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity", and "involve[d] in the production, manufacture or use in the DRC of improvised explosive devices, or in the commission, planning, ordering, aiding, abetting or otherwise assistance of attacks in the DRC with improvised explosive devices".

**Listed On:** 21/02/2024

**Last Updated:** 21/02/2024

**Group ID:** 16380

3. **Names (Last):** HAKIZIMANA (1): APOLLINAIRE (2): n/a (3): n/a (4): n/a (5): n/a

**Name (non-Latin):** n/a

**Title:** n/a

**Position:** (1) Lieutenant General (2) Commissioner for Defense for the Forces Démocratiques de Libération du Rwanda - Forces Combattantes Abacunguzi (FDLR-FOCA)

**A.K.A:** (1) ADONIA (2) AMIKWE LEPIC (3) LE POÈTE

**Date of Birth:** 00/00/1964

**Place of Birth:** n/a

**Nationality:** Rwanda

**Passport Number:** n/a **Passport Details:** n/a

**Address:** n/a

**Other Information** (UK Sanctions List Ref): DRC0067 (UN Ref): CDi.039 Listed pursuant to paragraphs 7 (b), 7 (e) and 7 (h) of resolution 2293 (2016) as “being political and military leaders of foreign armed groups operating in the DRC who impede the disarmament and the voluntary repatriation or resettlement of combatants belonging to those groups”; “planning, directing, or committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals”; and “acting on behalf of or at the direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity,” as extended by resolution 2688 (2023). As a military leader of FDLR, APOLLINAIRE HAKIZIMANA is involved in promulgating and supporting the group’s activities.

**Listed On:** 21/02/2024

**Last Updated:** 21/02/2024

**Group ID:** 16379

4. **Names (Last):** RUKUNDA **(1):** MICHEL **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a

**Name (non-Latin):** n/a

**Title:** n/a

**Position:** Commander and military leader of the armed group Twirwaneho

**A.K.A:** MAKANIKA

**Date of Birth:** 12/09/1974

**Place of Birth:** Minembwe, South Kivu

**Nationality:** Democratic Republic of the Congo

**Passport Number:** n/a **Passport Details:** n/a

**Address:** n/a

**Other Information** (UK Sanctions List Ref): DRC0071 (UN Ref): CDi.041 Listed pursuant to paragraph 7 (c), 7 (d), and 7 (e) of resolution 2293 (2016) as “being political and military leaders of Congolese militias, including those receiving support from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes”; “recruiting or using children in armed conflict in the DRC in violation of applicable international law”; “planning, directing, or committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals” and “acting on behalf of or at the direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity”, as extended by resolution 2688 (2023). As a military leader of an armed Congolese militia, MICHEL RUKUNDA is involved in promulgating and supporting the group’s activities.

**Listed On:** 21/02/2024

**Last Updated:** 21/02/2024

**Group ID:** 16381

5. **Names (Last):** NGOMA **(1):** WILLY **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a  
**Name (non-Latin):** n/a  
**Title:** n/a  
**Position:** (1) Major (2) Military spokesperson for M23  
**A.K.A:** n/a  
**Date of Birth:** 00/00/1974  
**Place of Birth:** n/a  
**Nationality:** Democratic Republic of the Congo  
**Passport Number:** n/a **Passport Details:** n/a  
**Address:** n/a  
**Other Information** (UK Sanctions List Ref): DRC0070 (UN Ref): CDi.044 Listed pursuant to paragraphs 7 (c), 7 (e) and 7 (h) of resolution 2293 (2016) as “being political and military leaders of Congolese militias, including those receiving support from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes;” “planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals;” and “acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity”, as reaffirmed by paragraph 2 of resolution 2688 (2023). As a leader of M23 (CDe.006), WILLY NGOMA is involved in planning, promulgating and supporting the armed group’s activities.  
**Listed On:** 21/02/2024  
**Last Updated:** 21/02/2024  
**Group ID:** 16382

6. **Names (Last):** YAKUTUMBA **(1):** WILLIAM **(2):** AMURI **(3):** n/a **(4):** n/a **(5):** n/a  
**Name (non-Latin):** n/a  
**Title:** n/a  
**Position:** (1) Secretary General and leader for the Coalition nationale du peuple pour la souveraineté du Congo (CNPSC) (until February 2023) (2) Founder and leader for MAI-MAI YAKUTUMBA  
**A.K.A:** YAKUTUMBA  
**Date of Birth:** (1) 00/00/1970, (2) 00/00/1972  
**Place of Birth:** Lunbondja, Fizi Territory, South Kivu  
**Nationality:** Democratic Republic of the Congo  
**Passport Number:** n/a **Passport Details:** n/a  
**Address:** n/a  
**Other Information** (UK Sanctions List Ref): DRC0066 (UN Ref): CDi.043 Listed pursuant to paragraphs 7 (c), 7 (d), 7 (e) and 7 (g) of resolution 2293 (2016) as “being political and military leaders of Congolese militias, including those receiving support from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes;” “recruiting or using children in armed conflict in the DRC in violation of applicable international law;” “planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and

maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals;” and “supporting individuals or entities, including armed groups or criminal networks, involved in destabilizing activities in the DRC through the illicit exploitation or trade of natural resources, including gold or wildlife as well as wildlife products”, as reaffirmed by paragraph 2 of resolution 2688 (2023). As the leader of the MAI MAI YAKUTUMBA, one of the largest CNPSC militia, WILLIAM AMURI YAKUTUMBA is involved in planning, promulgating and supporting the militia’s activities. He has effective command and control over the militia’s combatants.

**Listed On:** 21/02/2024

**Last Updated:** 21/02/2024

**Group ID:** 16384