



09 December 2024

NOTICE

RE: Financial Sanctions

1. The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.
2. **What you must do:**
 - A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
 - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
 - ii. Freeze any such accounts and other funds or economic resources.
 - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
 - iv. Report any findings to the Financial Reporting Authority ("FRA") at financialsanctions@gov.ky together with any additional information that would facilitate compliance with the relevant legislative requirements.
 - v. Provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.
 - B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
 - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
 - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
 - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.
 - iv. Send advice to the person that the assets are no longer subject to an asset freeze.
 - v. Advise the FRA at financialsanctions@gov.ky of the actions taken.
3. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information.

4. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.
<https://fra.gov.ky/guides-to-financial-sanctions/>.
5. Enquiries regarding this sanctions notice should be addressed to
The Sanctions Coordinator
Financial Reporting Authority
P.O. Box 1054
Grand Cayman KY1-1102

REGIME: Democratic Republic of the Congo

INDIVIDUAL

1. **Names (Last):** GOETZ **(1):** ALAIN **(2):** FRANCOIS **(3):** VIVIANE **(4):** n/a **(5):** n/a

Name (non-Latin): Alain François Viviane GOETZ

Title: n/a

Position: n/a

A.K.A: (1) ALAIN GOETZ (2) ALEN GOZ

Date of Birth: (1) 20/04/1965, (2) 24/04/1965

Place of Birth: n/a

Nationality: (1) Turkey (2) Belgium

Passport Number: (1) EP985086 (2) 50641895930 **Passport Details:** n/a

Address: The Palm Jumeirah 0-35, Villa 39, Frond N, The Palm Jumeirah, United Arab Emirates.

Other Information (UK Sanctions List Ref): DRC0072 (UK Statement of Reasons): Alain Francois Viviane GOETZ is an involved person under the Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 on the basis of the following ground: GOETZ is or has been involved in providing financial services, or making available funds or economic resources, that could contribute to any serious human rights violation or abuse, any violation of international humanitarian law or obstructing or undermining respect for democracy, the rule of law and good governance in the Democratic Republic of the Congo. Specifically, GOETZ, through his involvement in the global gold market and the illicit trade of gold, is or has been involved in contributing to serious human rights violations and obstructing or undermining respect for democracy, the rule of law and good governance in the DRC. .

Listed On: 09/12/2024

Last Updated: 09/12/2024

Group ID: 16710

REGIME: Global Anti-Corruption

INDIVIDUAL

1. **Names (Last):** PATTNI **(1):** KAMLESH **(2):** MANSUKHLAL **(3):** DAMJI **(4):** n/a **(5):** n/a

Name (non-Latin): n/a

Title: n/a

Position: n/a

A.K.A: n/a

Date of Birth: 12/03/1965

Place of Birth: n/a

Nationality: (1) Kenya (2) United Kingdom

Passport Number: 564196270 **Passport Details:** Issued 23/12/2019

Address: n/a

Other Information (UK Sanctions List Ref): GAC0061 (UK Statement of Reasons): Kamlesh PATTNI is an involved person within the meaning of the Global Anti-Corruption Sanctions Regulations 2021 on the basis of the following ground: PATTNI is or has been involved in being responsible for or engaging in serious corruption. Specifically, PATTNI is or has been responsible for and engaging in serious corruption, namely bribery, in support of his illicit gold trading enterprises. .

Listed On: 09/12/2024

Last Updated: 09/12/2024

Group ID: 16706

2. **Names (Last):** PATTNI **(1):** MINAL **(2):** KAMLESH **(3):** MANSUKHALL **(4):** DAMJI **(5):** n/a

Name (non-Latin): n/a

Title: n/a

Position: n/a

A.K.A: n/a

Date of Birth: 25/02/1975

Place of Birth: n/a

Nationality: (1) Kenya (2) United Kingdom

Passport Number: 511080315 **Passport Details:** Issued: United Kingdom

Address: n/a

Other Information (UK Sanctions List Ref): GAC0062 (UK Statement of Reasons): Minal PATTNI is an involved person within the meaning of the Global Anti-Corruption Sanctions regulations 2021 on the basis of the following ground: PATTNI is a member of, or associated with, a person who is or has been involved in serious corruption, namely her husband Kamlesh Pattni. .

Listed On: 09/12/2024

Last Updated: 09/12/2024

Group ID: 16707

3. **Names (Last):** VAYA (1): MUKESH (2): MANSUKHLAL (3): n/a (4): n/a (5): n/a

Name (non-Latin): n/a

Title: n/a

Position: n/a

A.K.A: n/a

Date of Birth: 00/09/1971

Place of Birth: n/a

Nationality: n/a

Passport Number: n/a **Passport Details:** n/a

Address: n/a

Other Information (UK Sanctions List Ref): GAC0063 (UK Statement of Reasons): Mukesh VAYA is an involved person within the meaning of the Global Anti-Corruption Sanctions regulations 2021 on the basis of the following grounds: (1) VAYA is associated with a person involved in serious corruption, namely Kamlesh PATTNI; (2) VAYA is or has been involved in facilitating or providing support for serious corruption. Specifically, VAYA has facilitated or provided support for the serious corruption of Kamlesh PATTNI. .

Listed On: 09/12/2024

Last Updated: 09/12/2024

Group ID: 16708

REGIME: Russia

INDIVIDUAL

1. **Names (Last):** JOSEPH (1): ANTO (2): n/a (3): n/a (4): n/a (5): n/a

Name (non-Latin): n/a

Title: n/a

Position: n/a

A.K.A: n/a

Date of Birth: n/a

Place of Birth: n/a

Nationality: India

Passport Number: n/a **Passport Details:** n/a

Address: One Lake Plaza, Unit No: Suite 609, Jumeirah Lake Towers, Dubai, United Arab Emirates.

Other Information (UK Sanctions List Ref): RUS2358 (UK Statement of Reasons): Anto Joseph is an involved person under the Russia (Sanctions) (EU Exit) Regulations 2019 on basis of the following ground: Anto Joseph is associated with a person that is or has been involved in making available funds to a person that makes available economic resources

that could contribute to destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. .

Listed On: 09/12/2024

Last Updated: **09/12/2024**

Group ID: 16709