



## Financial Sanctions Notice

**April 26, 2021**

The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.

### 1. What you must do:

- A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
    - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
    - ii. Freeze any such accounts and other funds or economic resources.
    - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
    - iv. Report any findings to the Financial Reporting Authority ("FRA") at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) together with any additional information that would facilitate compliance with the relevant legislative requirements.
    - v. Provide any information concerning the frozen assets of designated persons to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.
  - B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
    - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
    - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
    - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.
    - iv. Send advice to the person that the assets are no longer subject to an asset freeze.
    - v. Advise the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) of the actions taken.
2. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

## Further Information.

For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf).

Enquiries regarding this sanctions notice should be addressed to  
The Sanctions Coordinator  
Financial Reporting Authority  
P.O. Box 1054  
Grand Cayman KY1-1102  
Cayman Islands  
[FinancialSanctions@gov.ky](mailto:FinancialSanctions@gov.ky)

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## **REGIME: Global Anti-Corruption**

### **INDIVIDUAL**

- Names (Last):** Klyuev **(1):** Dmitry **(2):** Vladislavovich **(3):** n/a **(4):** n/a  
**(5):** n/a  
**Title:** n/a  
**Position:** Owner of Universal Savings Bank  
**A.K.A:** (1) Chelter Dima, (2) Klyuev Dmitriy Vladislovovich  
**Date of Birth:** 10/08/1967  
**Place of Birth:** Moscow  
**Nationality:** Russia  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14091
- Names (Last):** Kuznetsov **(1):** Artem **(2):** Konstantinovich **(3):** n/a **(4):** n/a  
**(5):** n/a  
**Title:** Lt. Colonel  
**Position:** (1) Deputy Division Head, Tax Crimes Department of the Moscow Branch of the Interior Ministry in 2007. (2) After Magnitsky's death he was promoted to the Interior Ministry's Economic Security Department.  
**A.K.A:** Kuznetsov Artyom Konstantinovich  
**Date of Birth:** 28/02/1975  
**Place of Birth:** Baku  
**Nationality:** Russia  
**Passport Details:** n/a  
**Address:** n/a

**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14092

3. **Names (Last):** Urzhumtsev **(1):** Oleg **(2):** Vyacheslavovich **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** Lt Col

**Position:** Formerly Senior Investigator of the Department for Investigating Organised Economic Criminal Activity of the Investigative Committee under the Ministry of Internal Affairs of Russia

**A.K.A:** n/a

**Date of Birth:** 22/10/1968

**Place of Birth:** n/a

**Nationality:** Russia

**Passport Details:** n/a

**Address:** n/a

**Other Information** n/a

**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14093

4. **Names (Last):** Karpov **(1):** Pavel **(2):** Aleksandrovich **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** Major

**Position:** (1) Formerly described as Investigator of the Investigative Unit of the Moscow branch of the Interior Ministry (2) Senior Investigating Officer for Major Cases. Retired July 2012.

**A.K.A:** Karpov Pavel Alexandrovich

**Date of Birth:** 27/08/1977

**Place of Birth:** Moscow

**Nationality:** Russia

**Passport Details:** 604176957 (likely expired)

**Address:** n/a

**Other Information** n/a

**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14094

5. **Names (Last):** Mayorova **(1):** Yulia **(2):** Mikhailovna **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** n/a

**Position:** Lawyer  
**A.K.A:** (1) Maiorova Yuliya Mikhaylovna, (2) Mayorova Yuliya Mikhaylovna, (3) Maiorova Yulia Mikhailovna  
**Date of Birth:** 23/04/1979  
**Place of Birth:** Moscow  
**Nationality:** Russia  
**Passport Details:** 622264502 (as of 2006).  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14095

6. **Names (Last):** Gordievsky **(1):** Stanislav **(2):** Evgenievich **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** Major  
**Position:** Investigator of the Investigative Department of the South Administrative District, of the Prosecutor Service in Moscow  
**A.K.A:** (1) Gordievsky Stanislav Yevgyenyevich, (2) Gordievskiy Stanislav Evgenievich, (3) Gordievskiy Stanislav Yevgyenyevich  
**Date of Birth:** 09/09/1977  
**Place of Birth:** n/a  
**Nationality:** Russia  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14096

7. **Names (Last):** Ali **(1):** Ashraf **(2):** Said **(3):** Ahmed **(4):** Hussein **(5):** n/a  
**Title:** n/a  
**Position:** Businessman  
**A.K.A:** (1) Al-Cardinal, (2) Ali Ashraf Seed Ahmed Hussein, (3) Ali Ashraf Seed Ahmed, (4) Ali Ashraf Sayed, (5) Ali Ashraf Seed Ahmed, (6) Ali Ashraf Hussein, (7) Ali Ashraff Seed Ahmed  
**Date of Birth:** (1) 00/01/1957, (2) 01/04/1957  
**Place of Birth:** n/a  
**Nationality:** (1) Sudan (2) South Sudan (3) possibly United Arab Emirates  
**Passport Details:** B00018325  
**Address:** Dubai United Arab Emirates (UAE)  
**Other Information** n/a  
**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14097

8. **Names (Last):** Gupta **(1):** Ajay **(2):** Kumar **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** Mr

**Position:** Businessperson

**A.K.A:** n/a

**Date of Birth:** 05/02/1956

**Place of Birth:** Saharanpur

**Nationality:** (1) India (2) South Africa

**Passport Details:** (1) Z1876211. Expiry: 03 Sep 2018. (2) Z1440582. (3) Z2325724.

**Address:** Dubai United Arab Emirates (UAE)

**Other Information** Associated with: Atul Gupta (brother/business partner), Rajesh Kumar Gupta (brother/business partner), Salim Essa (business partner).

**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14098

9. **Names (Last):** Stepanova **(1):** Olga **(2):** Germanovna **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** n/a

**Position:** Previous Head of Tax Office no. 28

**A.K.A:** Stepanova Olga Hermanovna

**Date of Birth:** 29/07/1962

**Place of Birth:** Moscow

**Nationality:** Russia

**Passport Details:** n/a

**Address:** n/a

**Other Information** Wife of Vladlen Stepanov at the time of the serious corruption.

**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14099

10. **Names (Last):** Gupta **(1):** Atul **(2):** Kumar **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** Mr

**Position:** Businessperson

**A.K.A:** n/a

**Date of Birth:** 14/06/1968

**Place of Birth:** Saharanpur

**Nationality:** (1) India (2) South Africa

**Passport Details:** (1) 233646059. Expiry: 04 Jun 2018. (2) 477486059. Expiry: 04 Jun 2018. (3) 589623059. Expiry: 04 Jun 2018.

**Address:** Dubai United Arab Emirates (UAE)

**Other Information** Associated with: Ajay Kumar Gupta (brother/business partner), Rajesh Kumar Gupta (brother/business partner), Salim Essa (business partner).

**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14100

11. **Names (Last):** Stepanov **(1):** Vladlen **(2):** Yurievich **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** n/a

**Position:** n/a

**A.K.A:** Stepanov Vladlen Yuryevich

**Date of Birth:** 17/07/1962

**Place of Birth:** n/a

**Nationality:** n/a

**Passport Details:** n/a

**Address:** n/a

**Other Information** Husband of Olga Stepanova at the time of the serious corruption.

**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14101

12. **Names (Last):** Gupta **(1):** Rajesh **(2):** Kumar **(3):** n/a **(4):** n/a **(5):** n/a

**Title:** Mr

**Position:** Businessperson

**A.K.A:** Gupta Tony

**Date of Birth:** 05/08/1972

**Place of Birth:** Saharanpur

**Nationality:** (1) India (2) South Africa

**Passport Details:** (1) M00069726. Expiry: 13 Sep 2022. (2) 462064042.

Expiry: 26 Jul 2016. (3) M00006520. Expiry: 26 Jul 2019. (4) M00138156. Expiry: 04 Feb 2025.

**Address:** Dubai United Arab Emirates (UAE)

**Other Information** Associated with: Ajay Kumar Gupta (brother/business partner), Atul Kumar Gupta (brother/business partner), Salim Essa (business partner).

**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14102

13. **Names (Last):** Essa **(1):** Salim **(2):** Azziz **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** Mr  
**Position:** Businessperson  
**A.K.A:** n/a  
**Date of Birth:** 15/01/1978  
**Place of Birth:** Johannesburg  
**Nationality:** South Africa  
**Passport Details:** (1) M00073786. Expiry: 08 Nov 2022. (2) 481034886. Expiry: 06 Nov 2018. (3) M00134539. Expiry: 28 Dec 2024. (4) 48103. Expiry: 08 Nov 2022.  
**Address:** Dubai United Arab Emirates (UAE)  
**Other Information** Ajay Kumar Gupta (business partner), Atul Kumar Gupta (business partner), Rajesh Kumar Gupta (business partner).  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14087

14. **Names (Last):** Khimina **(1):** Yelena **(2):** Ivanovna **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a  
**Position:** Head of Moscow Tax Office No. 25  
**A.K.A:** Khimina Elena Ivanovna  
**Date of Birth:** 11/09/1953  
**Place of Birth:** Moscow  
**Nationality:** Russia  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14083

15. **Names (Last):** Najera **(1):** Oscar **(2):** Ramon **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a  
**Position:** Congressman  
**A.K.A:** n/a  
**Date of Birth:** 12/12/1950  
**Place of Birth:** n/a  
**Nationality:** Honduras  
**Passport Details:** n/a  
**Address:** Honduras  
**Other Information** n/a  
**Listed On:** 26/04/2021

**Last Updated:** 26/04/2021

**Group ID:** 14088

16. **Names (Last):** Alejos Lorenzana **(1):** Felipe **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a  
**Position:** Deputy and Vice President of the Board of Directors of the Congress of Guatemala  
**A.K.A:** n/a  
**Date of Birth:** 03/10/1984  
**Place of Birth:** n/a  
**Nationality:** Guatemala  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14089
17. **Names (Last):** Pavlov **(1):** Andrey **(2):** Alekseyevich **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a  
**Position:** Lawyer  
**A.K.A:** (1) Pavlov Andrei Alekseevich, (2) Pavlov Andrei Alexeyevich, (3) Pavlov Andrei Alexeevich  
**Date of Birth:** 07/08/1977  
**Place of Birth:** n/a  
**Nationality:** Russia  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14084
18. **Names (Last):** Lopez Centeno **(1):** Jose **(2):** Francisco **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a  
**Position:** (1) Treasurer of Sandinista Party (2) Minister (Advisory) of Production and Trade  
**A.K.A:** Lopez Centeno Chico Lopez  
**Date of Birth:** 17/09/1950



**Place of Birth:** n/a  
**Nationality:** Nicaragua  
**Passport Details:** C0915261 (Nicaragua).  
**Address:** Nicaragua  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14090

19. **Names (Last):** Sheshenya **(1):** Aleksey **(2):** Nikolaevich **(3):** n/a **(4):** n/a  
**(5):** n/a  
**Title:** n/a  
**Position:** n/a  
**A.K.A:** (1) Sheshenia Aleksei Nikolayevich, (2) Sheshenia Alexei Nikolayevich, (3) Sheshenia Alexey Nikolayevich  
**Date of Birth:** 16/04/1971  
**Place of Birth:** Kommunarsk, Voroshilov region  
**Nationality:** n/a  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14085

20. **Names (Last):** Plaksin **(1):** Gennady **(2):** Nikolaevich **(3):** n/a **(4):** n/a  
**(5):** n/a  
**Title:** n/a  
**Position:** Former Chairman of the Universal Savings Bank  
**A.K.A:** Plaksin Gennadiy Nikolayevich  
**Date of Birth:** 30/08/1961  
**Place of Birth:** Klin, Moscow Region  
**Nationality:** n/a  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14086

21. **Names (Last):** Khlebnikov **(1):** Vyacheslav **(2):** Georgievich **(3):** n/a  
**(4):** n/a **(5):** n/a

**Title:** n/a  
**Position:** Businessman  
**A.K.A:** Khlebnikov Viacheslav Georgiyevich  
**Date of Birth:** 09/07/1967  
**Place of Birth:** Tambov  
**Nationality:** Russia  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14103

22. **Names (Last):** Markelov **(1):** Viktor **(2):** Aleksandrovich **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a  
**Position:** Businessman  
**A.K.A:** Markelov Viktor Alexandrovich  
**Date of Birth:** 15/12/1957  
**Place of Birth:** Leninskoye village, Uzganskiy District, Osh region  
**Nationality:** Russia  
**Passport Details:** n/a  
**Address:** n/a  
**Other Information** n/a  
**Listed On:** 26/04/2021  
**Last Updated:** 26/04/2021  
**Group ID:** 14104